

# The Search for Pentaquarks

Kevin Stenson

University of Colorado, Boulder

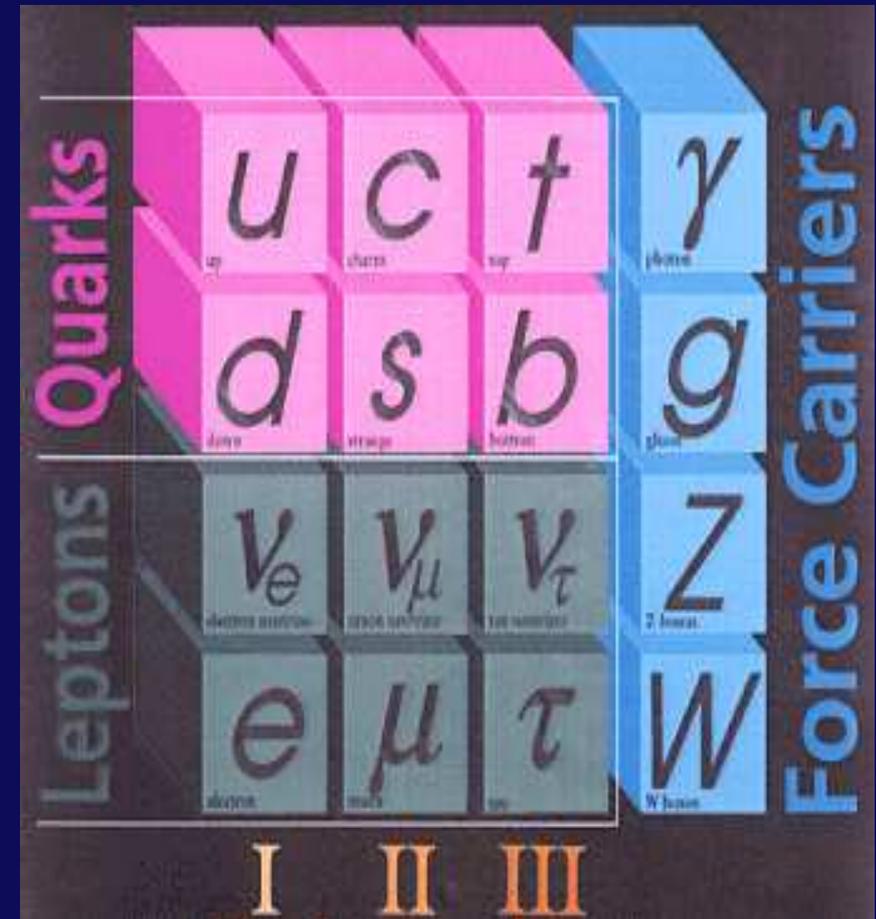
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# Standard Model

## Forces:

- Three forces: **strong**, **weak**, **electromagnetism**
- Four force carriers: gluon ( $g$ ) for **strong**,  $W^\pm$  and  $Z^0$  for **weak**, and  $\gamma$  for **EM**
- Quantum Electrodynamics (QED) combined with **weak** theory makes up *electroweak* theory
- Quantum Chromodynamics (QCD) modeled on QED to describe the **strong** force



## Matter:

- 3 pairs of quarks: affected by all forces
- 3 pairs leptons: not affected by **strong** force (no **EM** for  $\nu$  either)
- Also have antiparticles for each

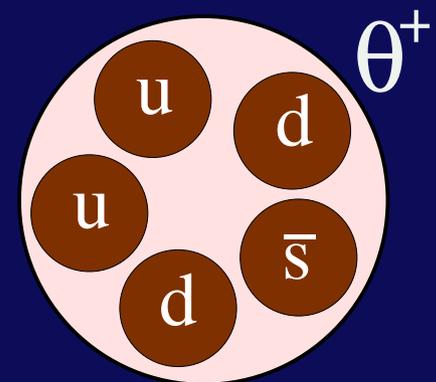
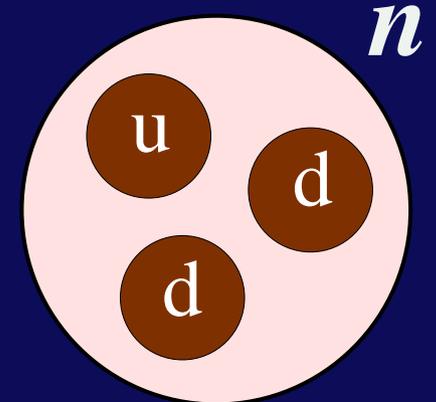
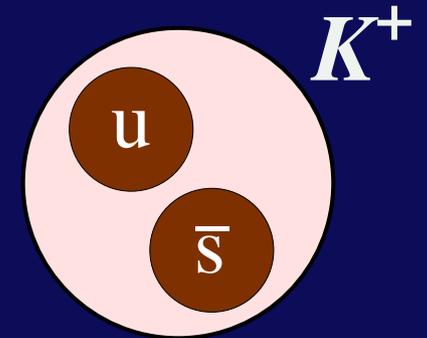
# The strong force (QCD)

- Based on QED replacing photon and 1 electric charge with gluon and 3 color charges
- Quarks carry color charge: red ( $r$ ), green ( $g$ ), or blue ( $b$ )
- Antiquarks carry anti-color charge:  $\bar{r}$ ,  $\bar{g}$ ,  $\bar{b}$
- 8 gluons; also carry color charge like  $r\bar{g}$  or  $\bar{r}g$
- Since gluons carry color they self-interact making QCD *non-Abelian* as opposed to the *Abelian* EM theory QED ( $\gamma$  is neutral)
- Leads to an interaction strength  $\alpha_s$  which increases with increasing distance (or decreasing momentum transfer)
- Two interesting consequences:
  1. *Confinement* — Only color neutral (white) particles can be observed
  2. *Asymptotic freedom* — At short distances (high  $Q^2$ ) the interaction strength is small so particles are “free” and perturbative QCD is possible ( $\alpha_s \sim 0.1$ )



# Color neutral states?

- Nearly all quark containing particles can be understood as mesons or baryons
- Mesons contain a quark and an antiquark ( $q\bar{q}$ )
- Baryons contain 3 quarks ( $qqq$ ) or 3 antiquarks ( $\bar{q}\bar{q}\bar{q}$ )
- These are the two simplest color neutral combinations
- Why not tetraquarks ( $qq\bar{q}\bar{q}$ ), pentaquarks ( $qqqq\bar{q}$ ), baryonium ( $qqq\bar{q}\bar{q}\bar{q}$ ), dibaryons ( $qqqqqq$ ), glueballs ( $ng$ ), or hybrids ( $q\bar{q}g$ )?
- Many theories for these *exotic* states have been proposed
- *crypto-exotic* states have the same quantum numbers as normal states
- *manifestly exotic* states have quantum numbers inaccessible to normal states



# Putting quarks together

- Define *isospin* ( $I$ ) similarly to intrinsic spin (and  $I_3$  like  $s_z$ )

$$\begin{aligned}u &= \left| \frac{1}{2}, \frac{1}{2} \right\rangle \\ \bar{u} &= \left| \frac{1}{2}, -\frac{1}{2} \right\rangle \\ d &= \left| \frac{1}{2}, -\frac{1}{2} \right\rangle \\ \bar{d} &= - \left| \frac{1}{2}, \frac{1}{2} \right\rangle\end{aligned}$$

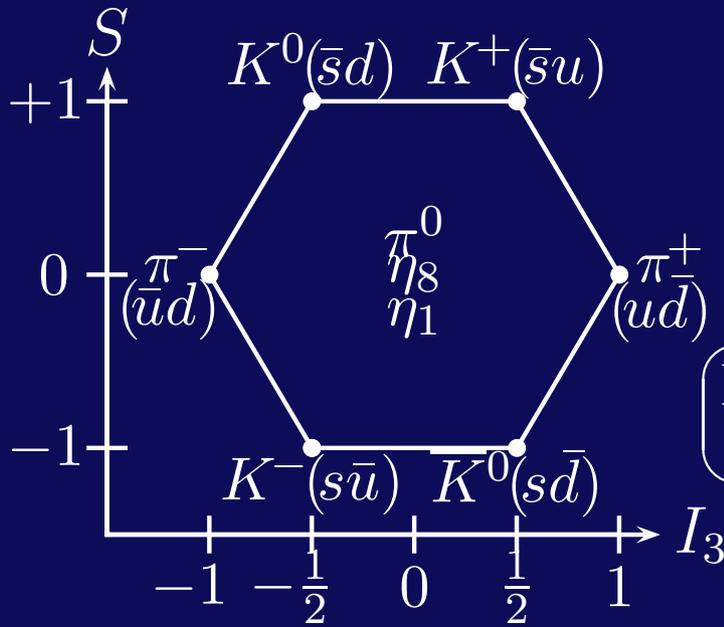
Can be combined  
  
into an  $I = 1$  triplet  
and  $I = 0$  singlet

$$\begin{aligned}\pi^+ &= |1, 1\rangle = -u\bar{d} \\ \pi^0 &= |1, 0\rangle = \sqrt{\frac{1}{2}}(u\bar{u} - d\bar{d}) \\ \pi^- &= |1, -1\rangle = d\bar{u} \\ X^0 &= |0, 0\rangle = \sqrt{\frac{1}{2}}(u\bar{u} + d\bar{d})\end{aligned}$$

- Identical to  $SU(2)$  decomposition  $\mathbf{2} \otimes \bar{\mathbf{2}} = \mathbf{3} \oplus \mathbf{1}$
- Adding  $s$  quark leads to  $SU(3)$  and new quantum number *strangeness* ( $S$ )
  - Mesons ( $q\bar{q}$ ) decompose as  $\mathbf{3} \otimes \bar{\mathbf{3}} = \mathbf{8} \oplus \mathbf{1}$
  - Baryons ( $qqq$ ) decompose as  $\mathbf{3} \otimes \mathbf{3} \otimes \mathbf{3} = \mathbf{10} \oplus \mathbf{8} \oplus \mathbf{8} \oplus \mathbf{1}$

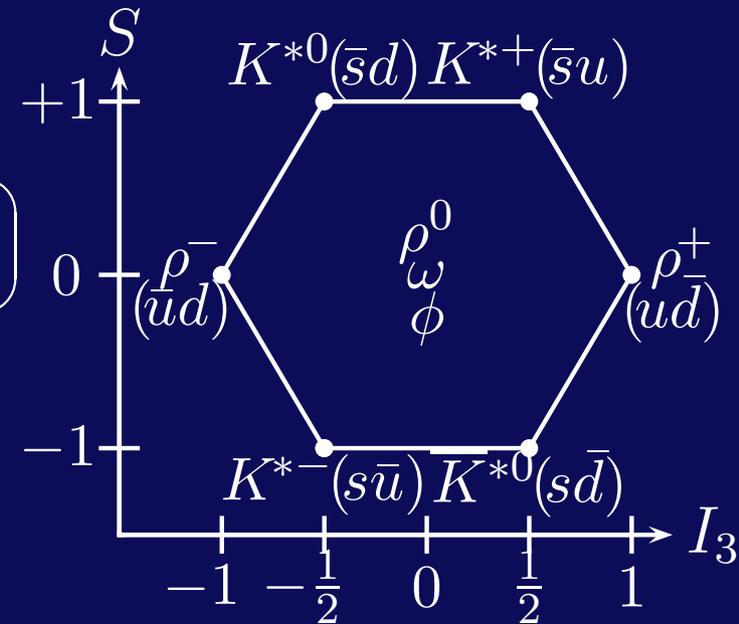
# The resulting multiplets

## Mesons

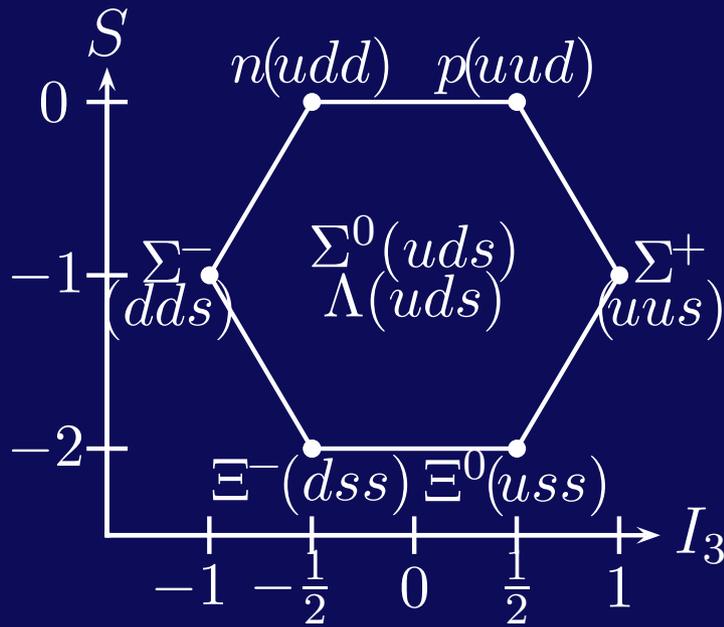


Vector  
 $J^{PC} = 1^{--}$

Pseudoscalar  
 $J^{PC} = 0^{-+}$

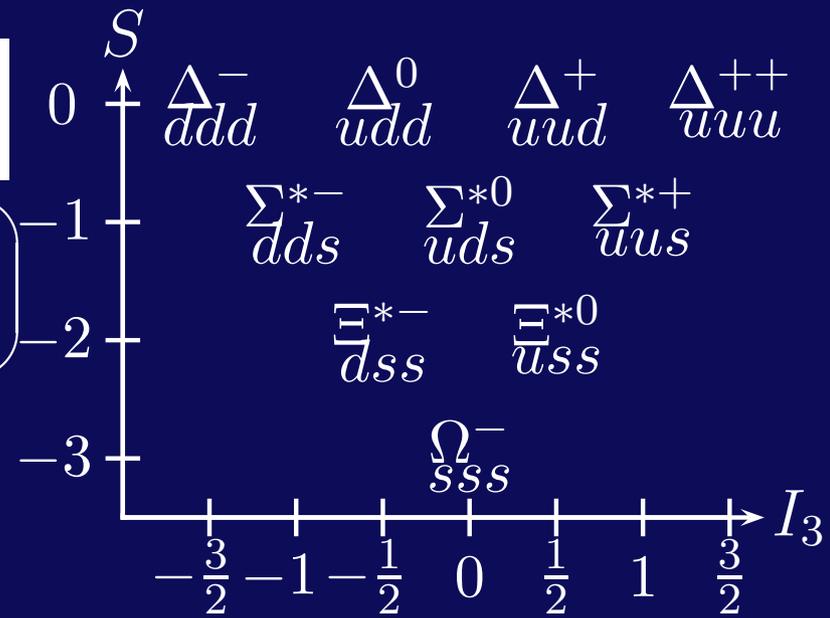


## Baryons



Decuplet  
 $J^P = \frac{3}{2}^+$

Octet  
 $J^P = \frac{1}{2}^+$



# Pentaquark anti-decuplet

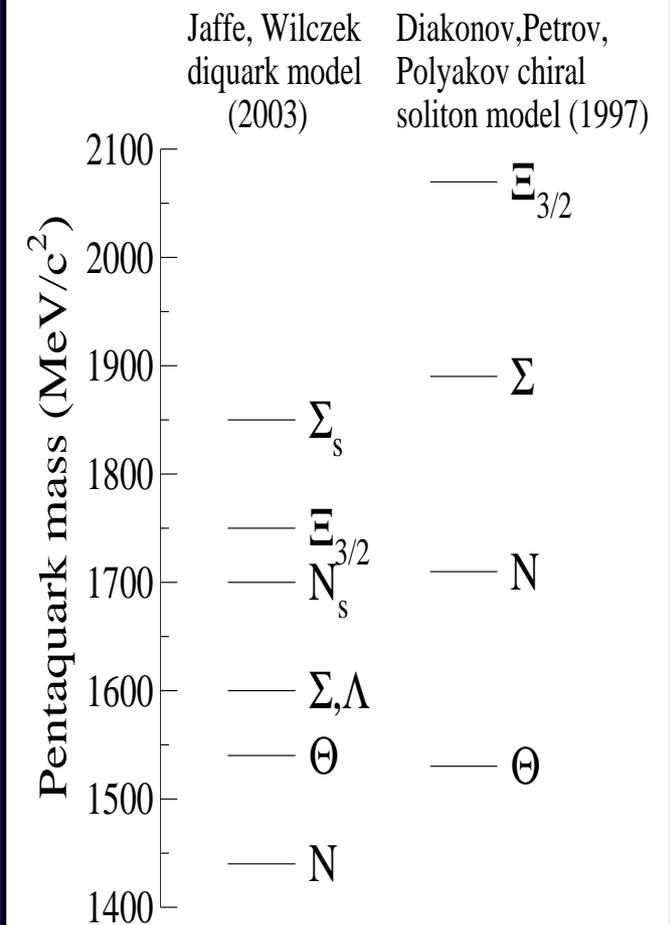
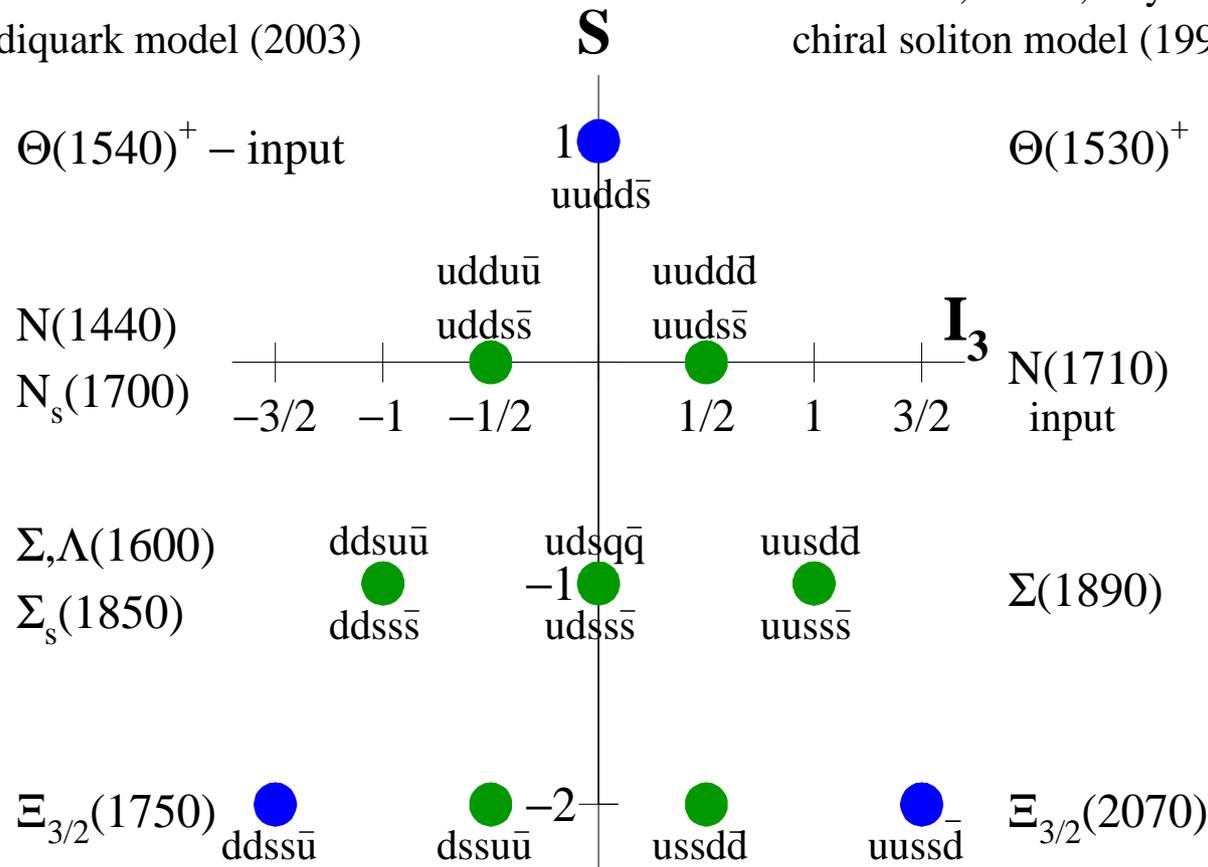
Combining 4 quarks and 1 antiquark gives

$$3 \otimes 3 \otimes 3 \otimes 3 \otimes \bar{3} = (3)1 \oplus (8)8 \oplus (4)10 \oplus (2)\overline{10} \oplus (3)27 \oplus 35$$

Anti-decuplet  $\overline{10}$  shown below ● = manifestly exotic, ● = crypto-exotic

Jaffe and Wilczek  
diquark model (2003)

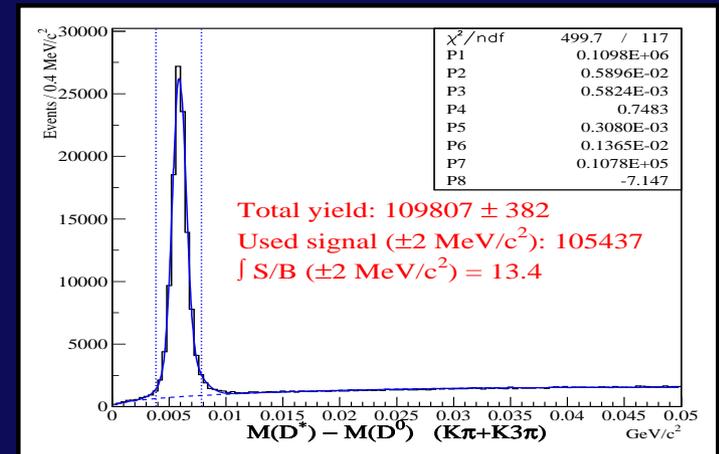
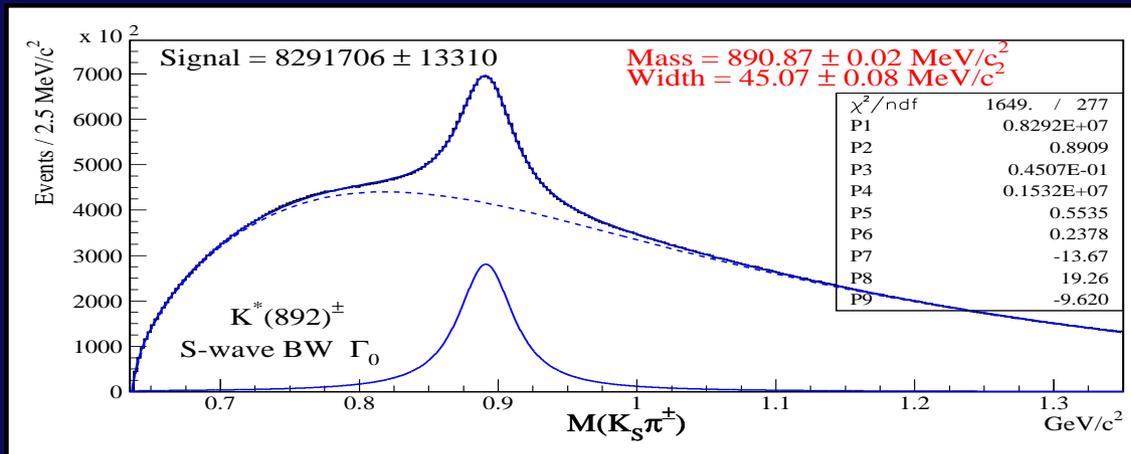
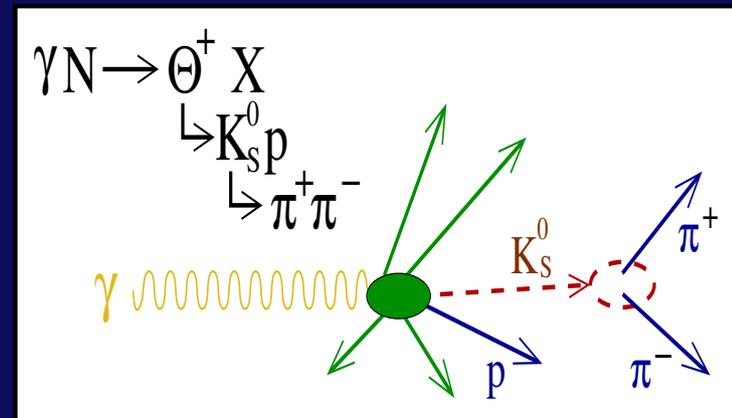
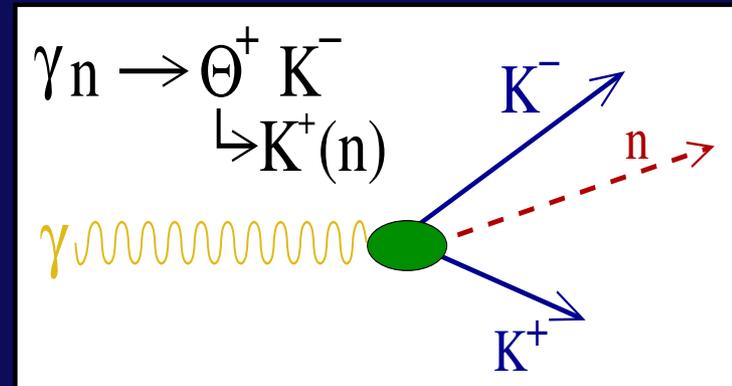
Diakonov, Petrov, Polyakov  
chiral soliton model (1997)



# Experimental particle physics 101

## Production and Reconstruction:

- Generally, stable particles bore us
- We like to smash things and create exotic particles which decay in  $10^{-25}$  to  $10^{-12}$  s
- Use decay products to reconstruct event
- Parent particle mass from  $m^2 = E^2 - p^2$
- Peaks in mass spectrum indicate particles
- Width of peaks from two sources:
  1. Breit-Wigner width from uncertainty principle:  $\Gamma = 1/\tau$
  2. Gaussian resolution due to detector



# Experimental particle physics 101

## Lies, Damn Lies, and Statistics:

- What is necessary to prove discovery?
- If probability that background caused excess is sufficiently small, claim discovery
  1. Estimate amount of background expected,  $B$
  2. Compute Poisson probability that  $B$  could fluctuate into at least the amount observed ( $S + B$ )
  3. Convert to “significance” in  $\sigma$  based on Gaussian distribution
  4. If greater than 3–5  $\sigma$ , claim discovery
  5. For large  $B$ ,  $S/\sqrt{B}$  gives significance directly

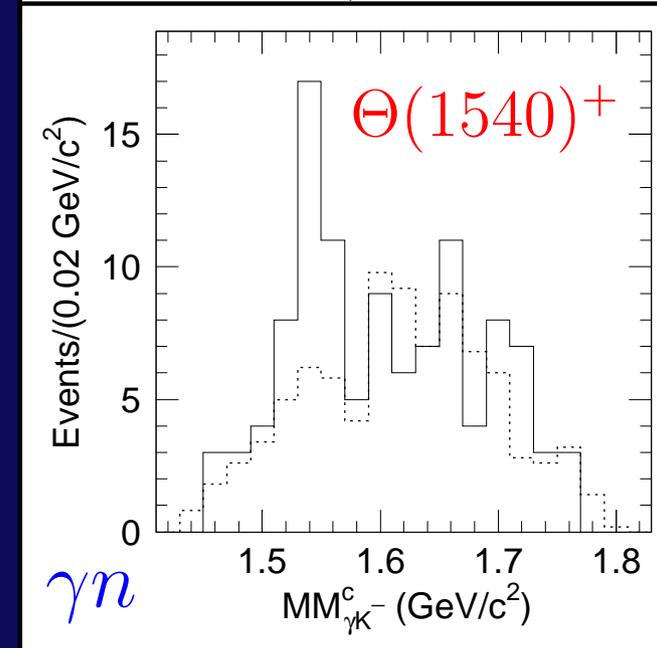
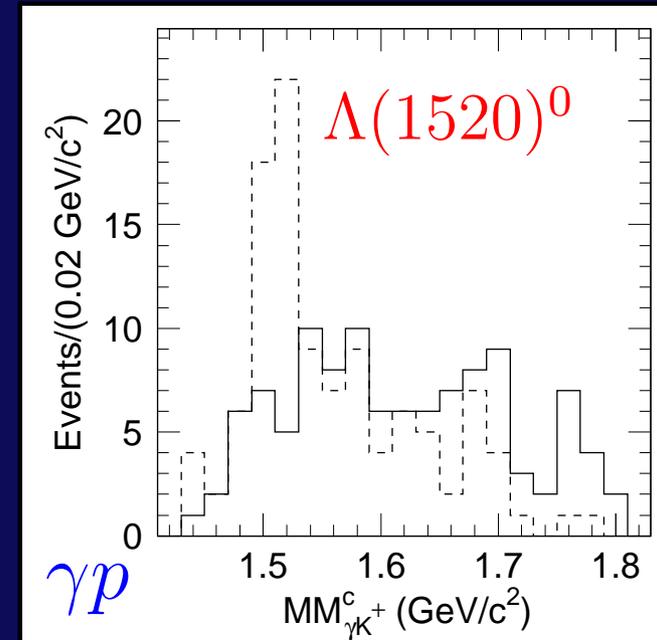
## Two additional points to note:

1. Upper limits are at the 95% confidence level
2. In some places the charge-conjugate state is implied



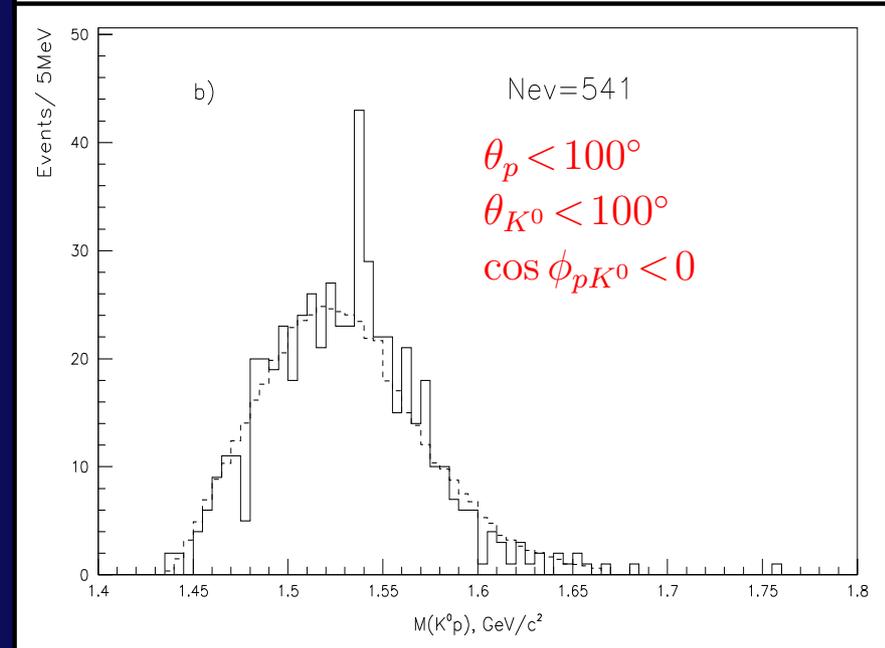
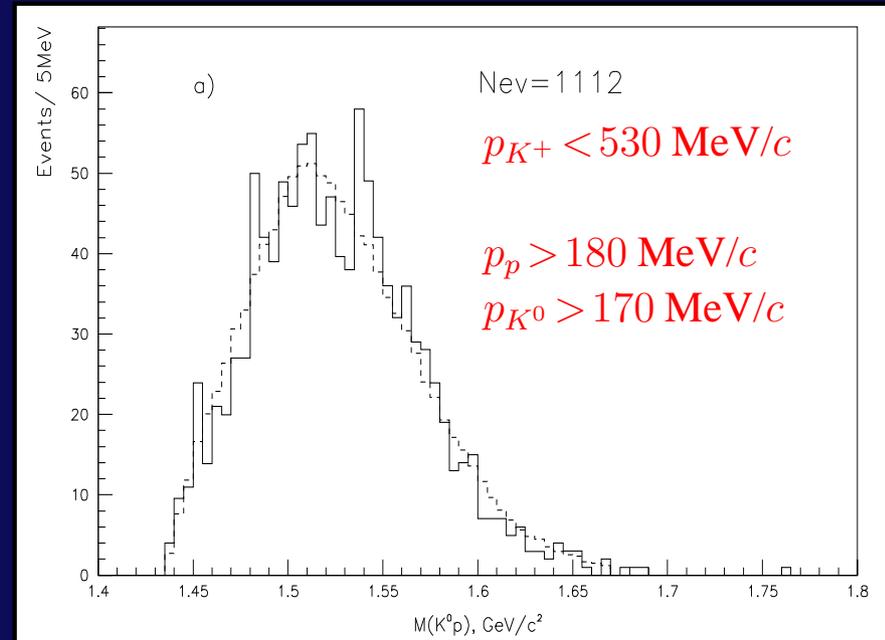
# First observation: LEPS (1/03)

- 1.5–2.4 GeV tagged photons from laser backscattered off 8 GeV electrons
- Study  $\gamma n \rightarrow K^+ K^- n$  in  $^{12}\text{C}$  ( $n$  inferred)
- Distinguish  $\gamma p$  events by looking for recoil  $p$
- Plot missing masses:  $MM_{\gamma K^+}$  &  $MM_{\gamma K^-}$
- $\gamma p \rightarrow \Lambda(1520) K^+ \rightarrow p K^- K^+$  in  $MM_{\gamma K^+}$
- 36 events w/.  $1.51 < MM_{\gamma K^-} < 1.57 \text{ GeV}/c^2$
- Expect 17 background
- Quote  $19/\sqrt{17} = 4.6 \sigma$  significance
- Interpretation:  $\Theta^+(uudd\bar{s}) \rightarrow n(udd) K^+(u\bar{s})$
- 462 citations in last 20 months = 0.8/day



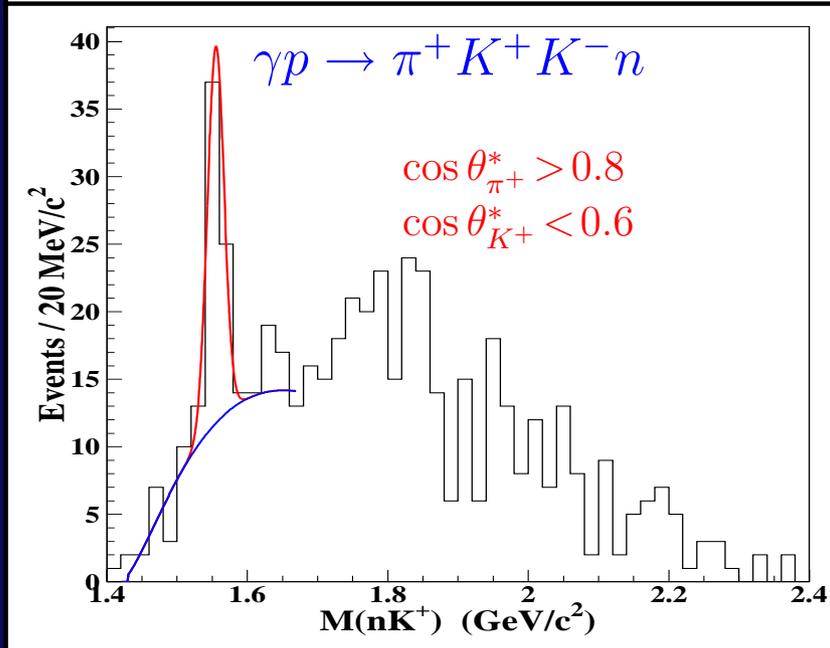
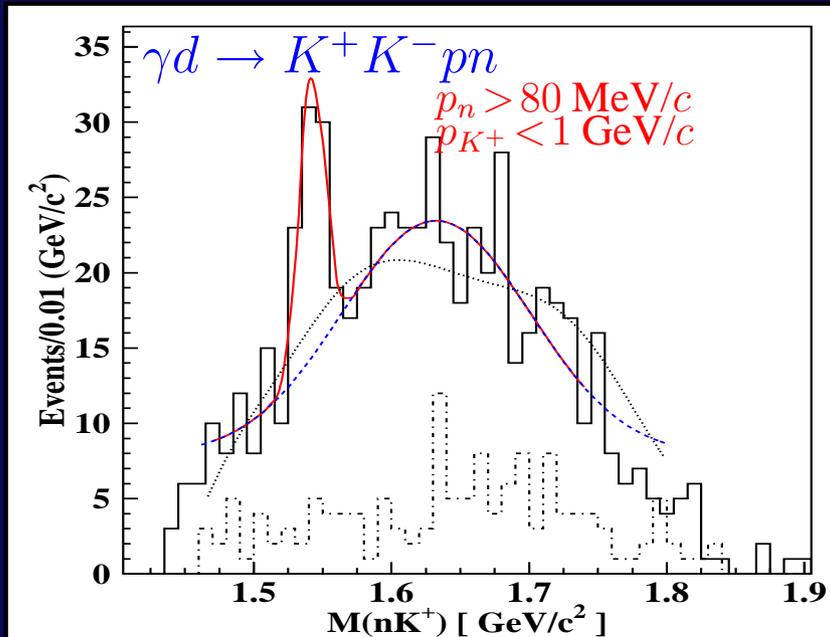
# Second observation: DIANA (4/03)

- 300–750 MeV/c  $K^+$  beam interact in Xe bubble chamber
- $K^+n \rightarrow pK^0$  and  $K^0 \rightarrow \pi^+\pi^-$
- No B-field; momentum obtained from distance traveled
- 73 events in two high bins
- Expect 44 background
- Quote  $29/\sqrt{44} = 4.4\sigma$  significance
- Interpretation:  
 $\Theta^+(uudd\bar{s}) \rightarrow p(uud)K^0(d\bar{s})$



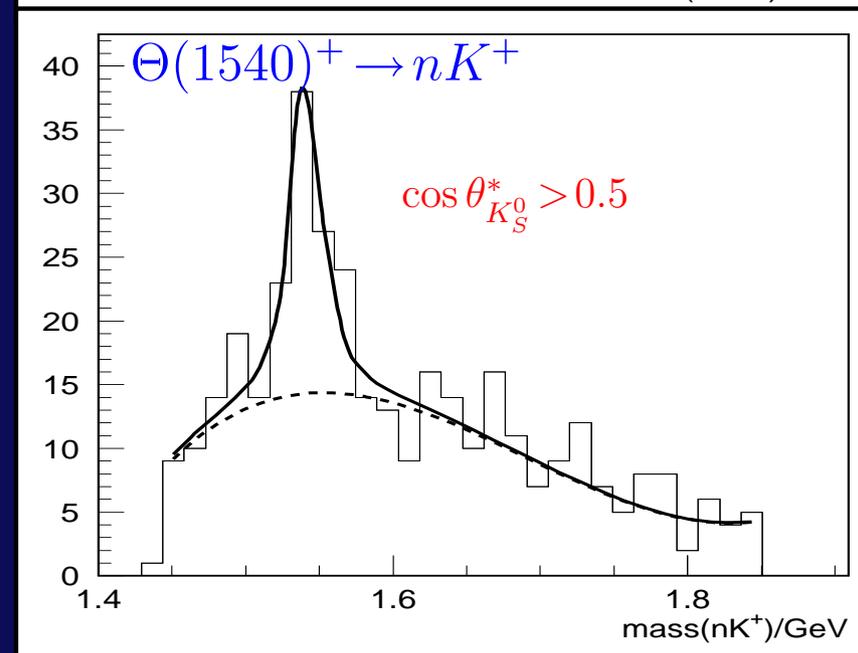
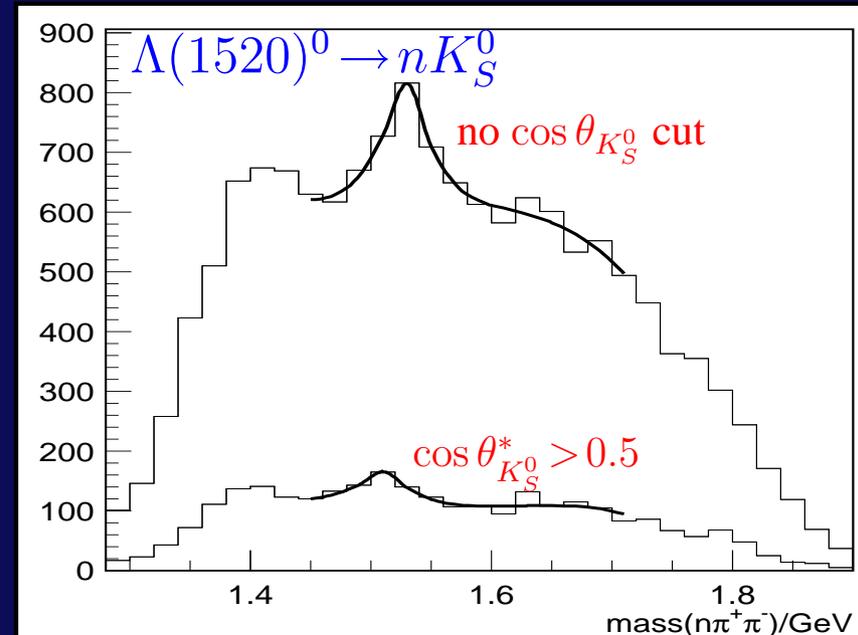
# Observations 3 & 6: CLAS (7/03,11/03)

- Tagged  $\gamma$  beam from  $e^-$  bremsstrahlung
- $d$  analysis:  $\gamma d \rightarrow K^+ K^- pn$  with  $1.5 < E_\gamma < 3$  GeV
- $p$  analysis:  $\gamma p \rightarrow \pi^+ K^+ K^- n$  with  $3 < E_\gamma < 5.5$  GeV
- $n$  from  $E$  and  $p$  conservation
- $d$  analysis: cuts on momentum
- $p$  analysis: cuts on angles
- $d$  analysis: 43 signal,  $5.2 \pm 0.6 \sigma$
- $p$  analysis: 41 signal,  $7.8 \pm 1.0 \sigma$
- $\Theta^+(uudd\bar{s}) \rightarrow n(udd)K^+(u\bar{s})$  from interesting production mechanisms



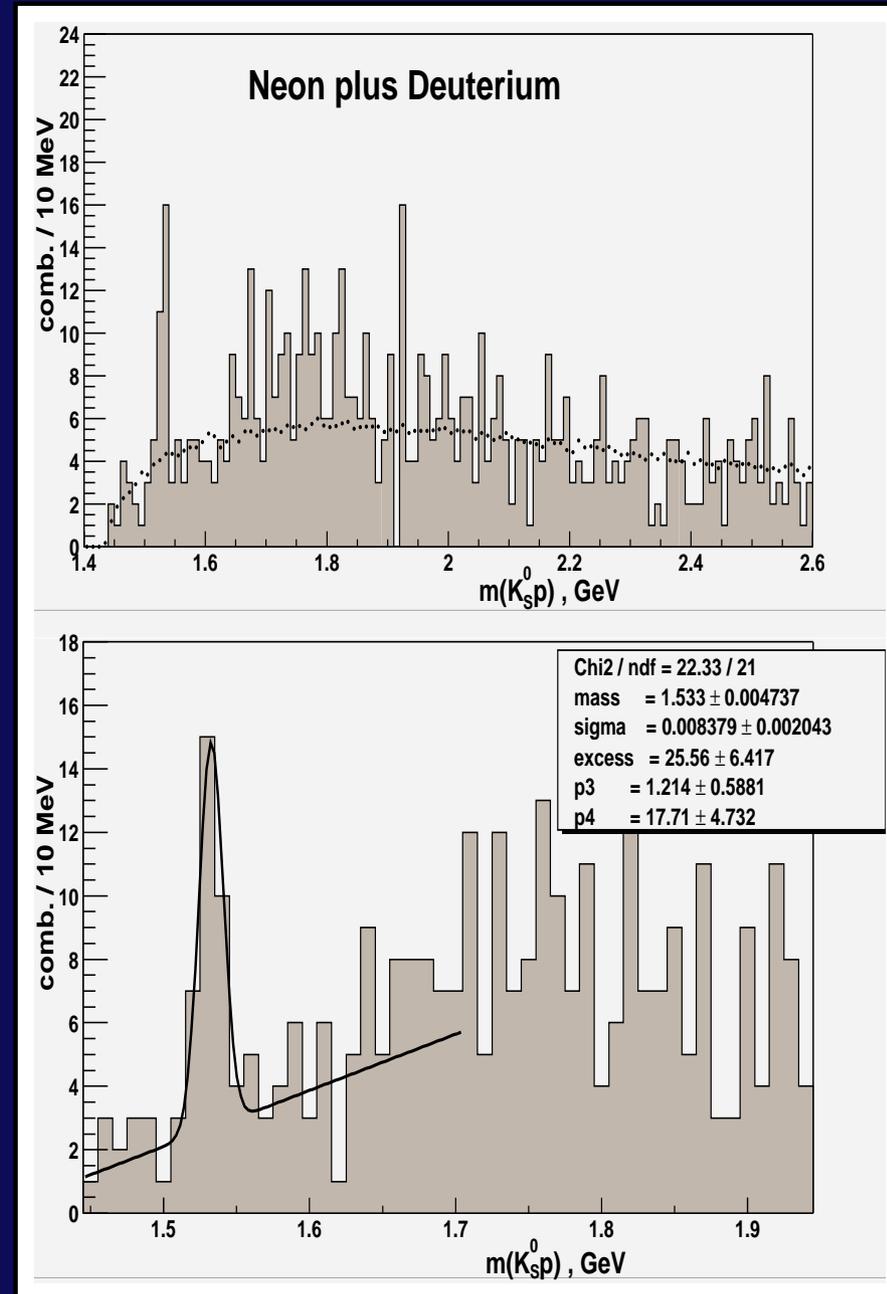
# Observation 4: SAPHIR (7/03)

- LH<sub>2</sub> target and tagged  $\gamma$  from  $e^-$  bremsstrahlung
- $\gamma p \rightarrow n K_S^0 K^+$
- $0.9 < E_\gamma < 2.6$  GeV
- Kinematic  $n$  reconstruction
- Cut on  $K_S^0$  mass and production angle
- $\Lambda(1520)^0 \rightarrow n K_S^0$  in top plot:  
620  $\pm$  90 events
- $\Theta(1540)^+ \rightarrow n K^+$  in bottom plot:  
63  $\pm$  13 events = 4.8  $\sigma$



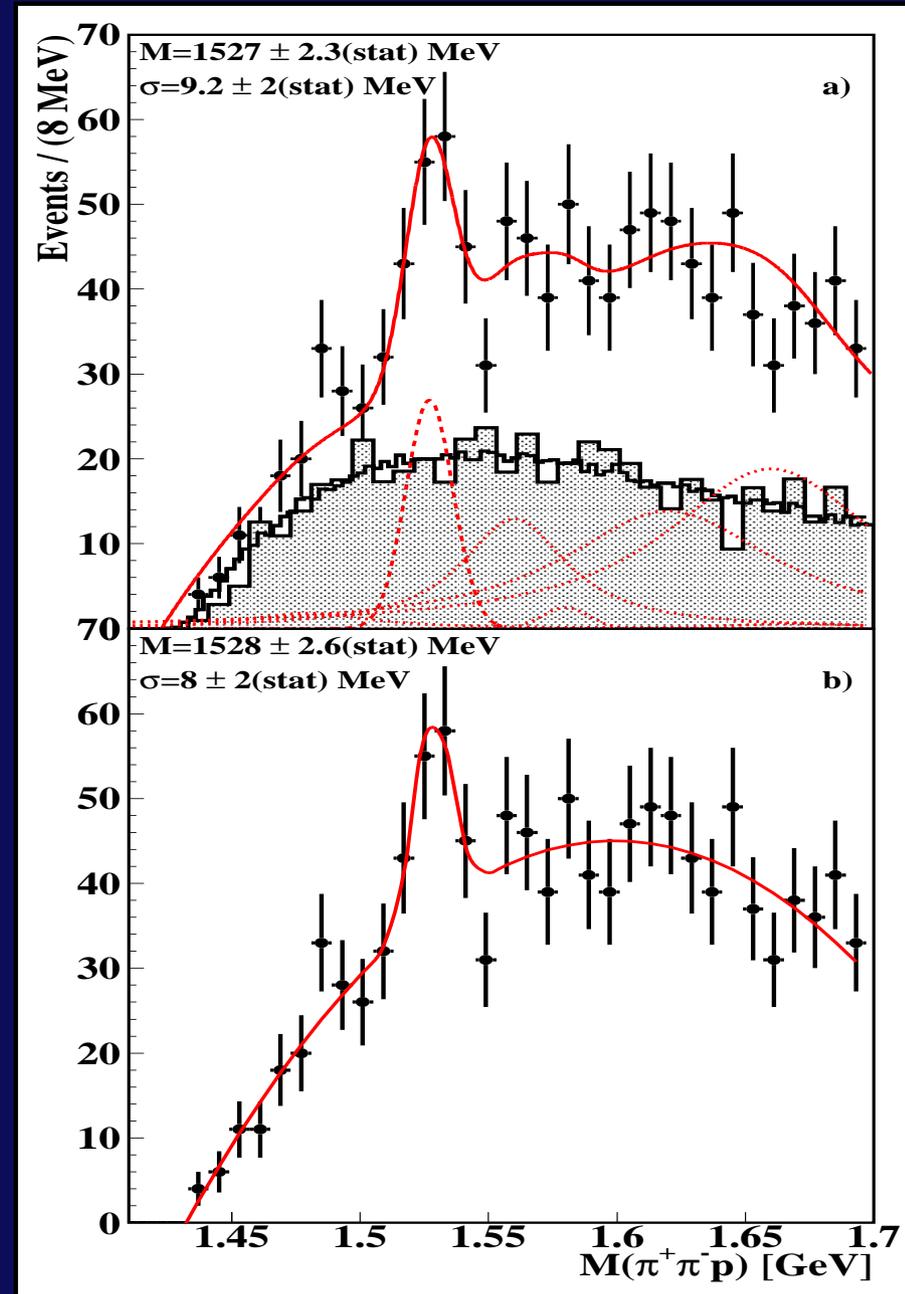
# Observation 5: Asratyan *et al.* (9/03)

- $\nu$  and  $\bar{\nu}$  with  $30 < E_\nu < 140$  GeV
- Bubble chambers filled with  $H_2$ ,  $D_2$ ,  $Ne-H_2$
- $\nu N \rightarrow p K_S^0 \mu X$
- Sample has  $p_\mu > 4$  GeV/c
- Require  $300 < p_p < 900$  MeV/c
- No signal in  $H_2$  so combine deuterium and neon data
- Fit finds  $25.6 \pm 6.4$  events
- 19 signal over 8 background,  $6.7 \sigma$
- $\Theta(1540)^+ \rightarrow p K_S^0$  is crypto-exotic:  
can be  $\Sigma^+(uus) \rightarrow p(uud) \bar{K}^0(\bar{d}s)$



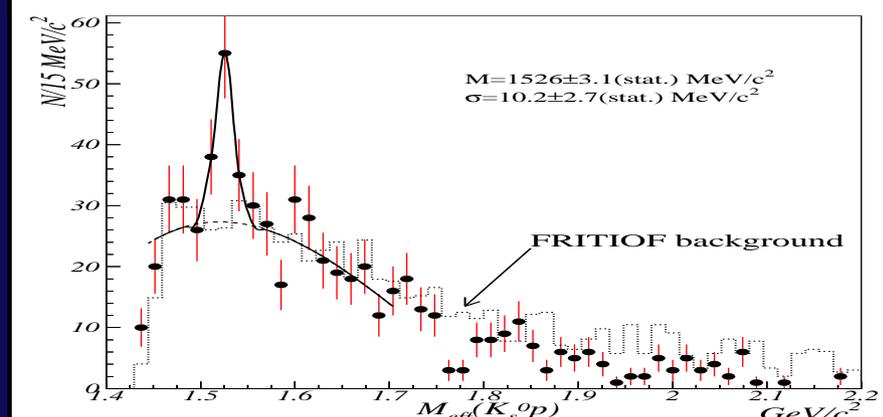
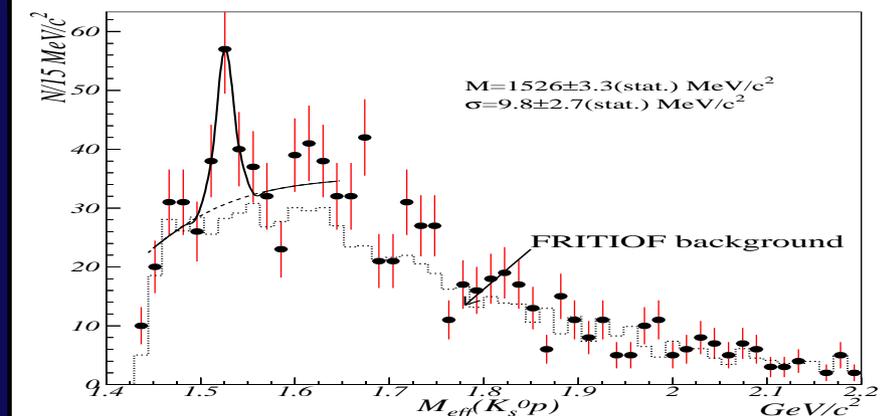
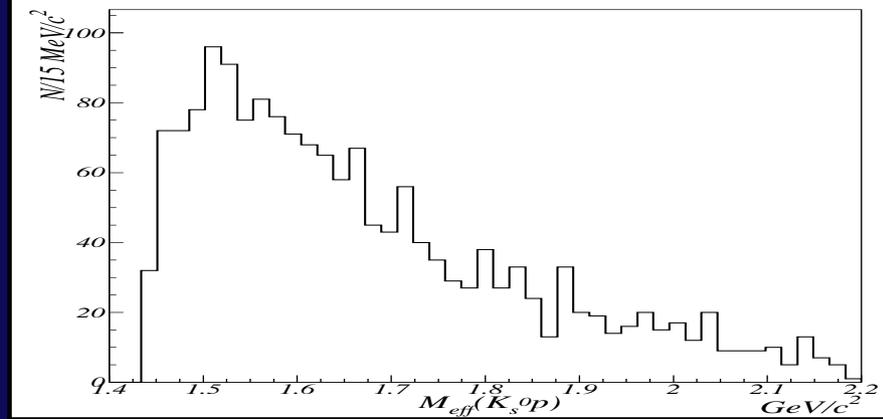
# Observation 7: HERMES (12/03)

- 27.6 GeV  $e^+$  on deuterium gas at HERA
- $\gamma d \rightarrow p K_S^0 X$
- Cut on  $K_S^0$  mass and  $1 < p_\pi < 15$  GeV/c
- Restrict proton momentum to 4–9 GeV/c
- Various fits find 52–79 events with  $S/\sqrt{B} = 4.2\text{--}6.3 \sigma$  and  $S/\delta S = 3.4\text{--}4.3 \sigma$
- $\Theta(1540)^+ \rightarrow p K_S^0$  is crypto-exotic: can be  $\Sigma^+(uus) \rightarrow p(uud)\bar{K}^0(\bar{d}s)$



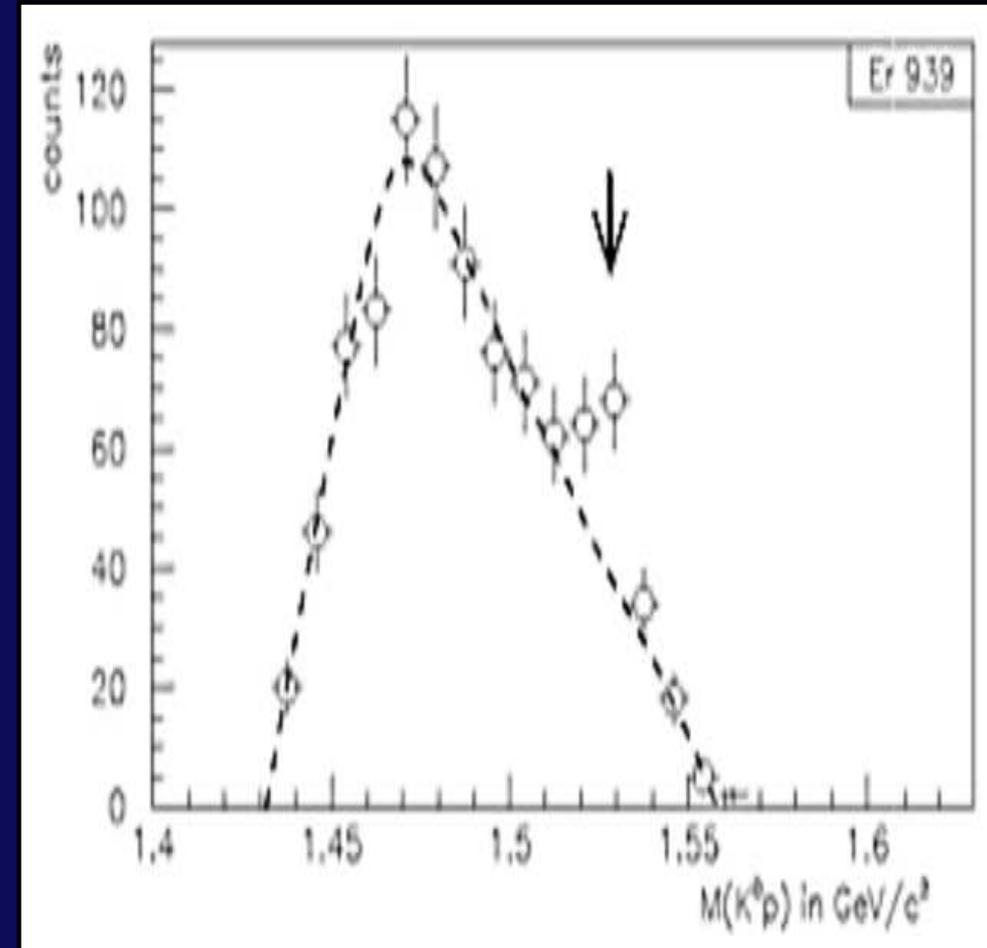
# Observation 8: SVD (1/04)

- 70 GeV/c  $p$  on C, Si, Pb targets
- $pN \rightarrow pK_S^0 X$
- Select  $p$  with  $4 < p_p < 21$  GeV/c and Čerenkov ID
- Middle plot adds  $K_S^0$  mass cut and  $\Theta^+$  angle cut
- Bottom plot adds  $p_{K_S^0} < p_p$  cut
- 50 events over 78 background gives  $50/\sqrt{78} = 5.6 \sigma$
- $\Theta(1540)^+ \rightarrow pK_S^0$  is crypto-exotic: can be  $\Sigma^+(uus) \rightarrow p(uud)\bar{K}^0(\bar{d}s)$



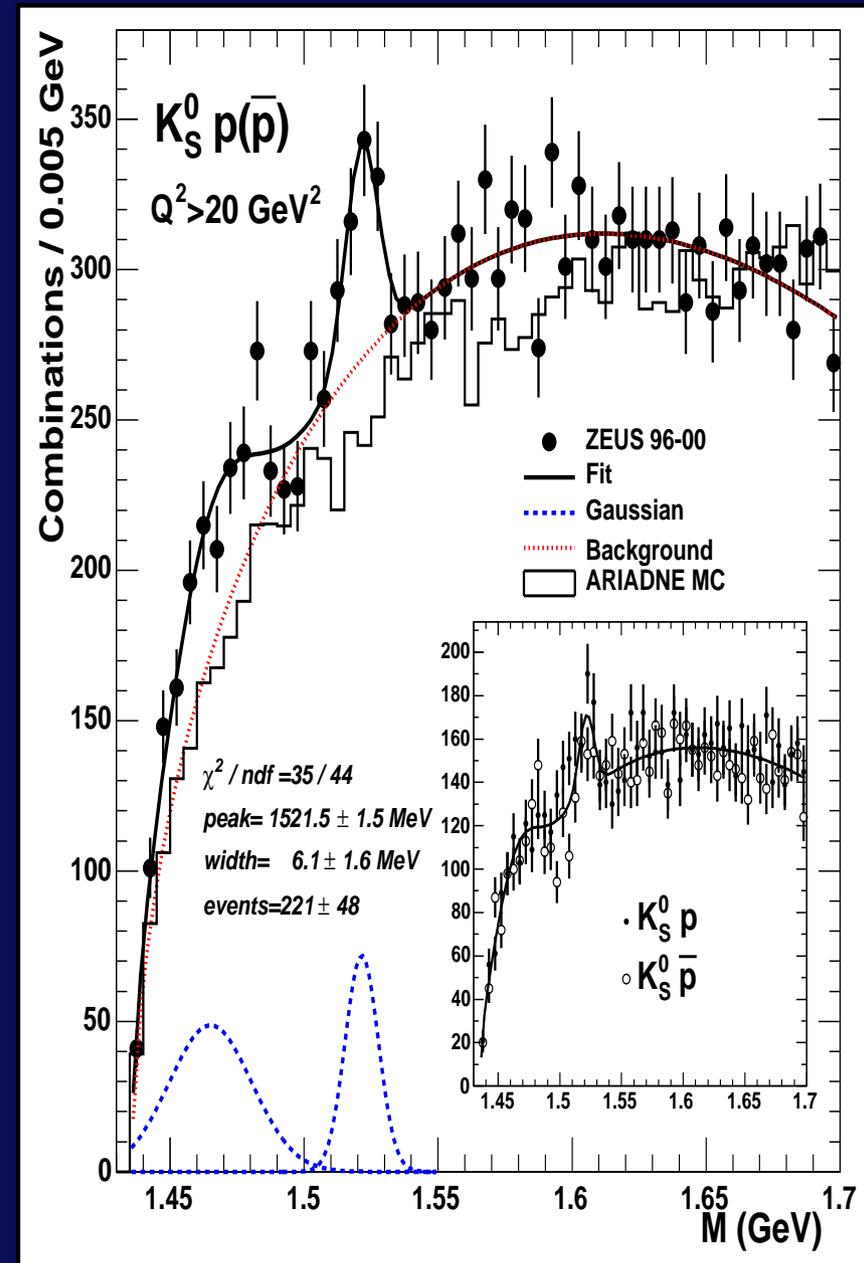
# Observation 9: COSY-TOF (3/04)

- 3 GeV/c  $p$  on LH<sub>2</sub>
- $pp \rightarrow \Sigma^+ K^0 p$
- Cuts on  $K_S^0 \rightarrow \pi^+ \pi^-$  mass and  $\Sigma^+$  mass
- Some kinematic constraints
- Report  $S/\sqrt{B} = 5.7$ ,  
 $S/\sqrt{S+B} = 4.7$ ,  
 $S/\sqrt{S+2B} = 3.7$
- Implies  $\sim 60$  events over  $\sim 105$  background
- $\Theta(1540)^+ \rightarrow pK_S^0$  is manifestly exotic due to  $\Sigma^+$  and no energy for additional strange particles



# Observation 10: ZEUS (3/04)

- $ep$  collisions at  $\sqrt{s} \sim 300$  GeV
- $\gamma p \rightarrow p K_S^0 X$  in deep inelastic scattering
- Cut on  $K_S^0$  mass,  $p_T$ , and  $|\eta|$
- Require  $p_p < 1.5$  GeV/ $c$  to separate from  $\pi$  with  $dE/dx$
- $221 \pm 48$  events ( $4.6 \sigma$ )
- Monte Carlo studies find fluctuation likelihood of  $6 \times 10^{-5} = 4.0 \sigma$
- $\Theta(1540)^+ \rightarrow p K_S^0$  is crypto-exotic



# What are the odds?

Experiment	Significance	Prob	Prob min	Prob max
LEPS	$4.6^{+1.2}_{-1.0} \sigma$	$4 \times 10^{-6}$	$3 \times 10^{-4}$	$7 \times 10^{-9}$
DIANA	$4.4 \sigma$	$1 \times 10^{-5}$		
CLAS- <i>d</i>	$5.2 \pm 0.6 \sigma$	$2 \times 10^{-7}$	$4 \times 10^{-6}$	$7 \times 10^{-8}$
SAPHIR	$4.8 \sigma$	$2 \times 10^{-6}$		
Asrtyan <i>et al.</i>	$6.7 \sigma$	$2 \times 10^{-11}$		
CLAS- <i>p</i>	$7.8 \pm 1.0 \sigma$	$6 \times 10^{-15}$	$1 \times 10^{-11}$	$1 \times 10^{-18}$
HERMES	$5 \pm 1 \sigma$	$6 \times 10^{-7}$	$6 \times 10^{-5}$	$2 \times 10^{-9}$
SVD	$5.6 \sigma$	$2 \times 10^{-8}$		
COSY-TOF	$5 \pm 1 \sigma$	$6 \times 10^{-7}$	$6 \times 10^{-5}$	$2 \times 10^{-9}$
ZEUS	$4.0-4.6 \sigma$	$6 \times 10^{-5}$	$6 \times 10^{-5}$	$4 \times 10^{-6}$
Total		$8 \times 10^{-73}$	$2 \times 10^{-62}$	$6 \times 10^{-86}$

The probability of all observations being due to background is  $10^{-62}$ – $10^{-86}$ , a 17–20  $\sigma$  effect and equivalent to:

- Flipping heads 207–288 times in a row
- Red Sox winning next 39–54 World Series
- 4–5 people in this room being killed by lightning in the next month
- DOE changing its mind about cancelling BTeV

# What are the “real” odds?

Is this the same kind of “slam dunk case” George Tenet presented to President Bush about Iraqi WMD?

- Most experiments use  $\sqrt{B}$  for background fluctuation when  $B$  is small (Poisson)
- Most experiments assume  $B$  is perfectly well known. If  $B$  estimation uncertainty is  $\sigma_B$  then  $\sqrt{B} \rightarrow \sqrt{B + \sigma_B^2}$
- Presumably experiments would accept a range of masses and widths; accepting 10 different masses increases probability of background mimicing signal by 10 — can only really be answered by Monte Carlo techniques
- What about effect of setting cuts to enhance a bump? Very difficult to account for:
  1. “A peak appears most clearly when requiring  $\cos \theta_{\pi^+}^* > 0.8, \dots$ ”
  2. “The data also show that  $K^+$  momenta greater than 1.0 GeV/c are associated with an invariant mass of the  $nK^+$  system above  $\sim 1.7 \text{ GeV}/c^2$ . Events with a  $K^+$  momentum above 1.0 GeV/c were removed to reduce this background.”



# Better estimations of significance

- Use Poisson statistics for background fluctuation probability
- Account for statistical uncertainty of  $B$  estimation
- Assume any signal between 1510–1570 MeV/ $c^2$  accepted; divide 60 MeV/ $c^2$  by resolution and divide probability by result

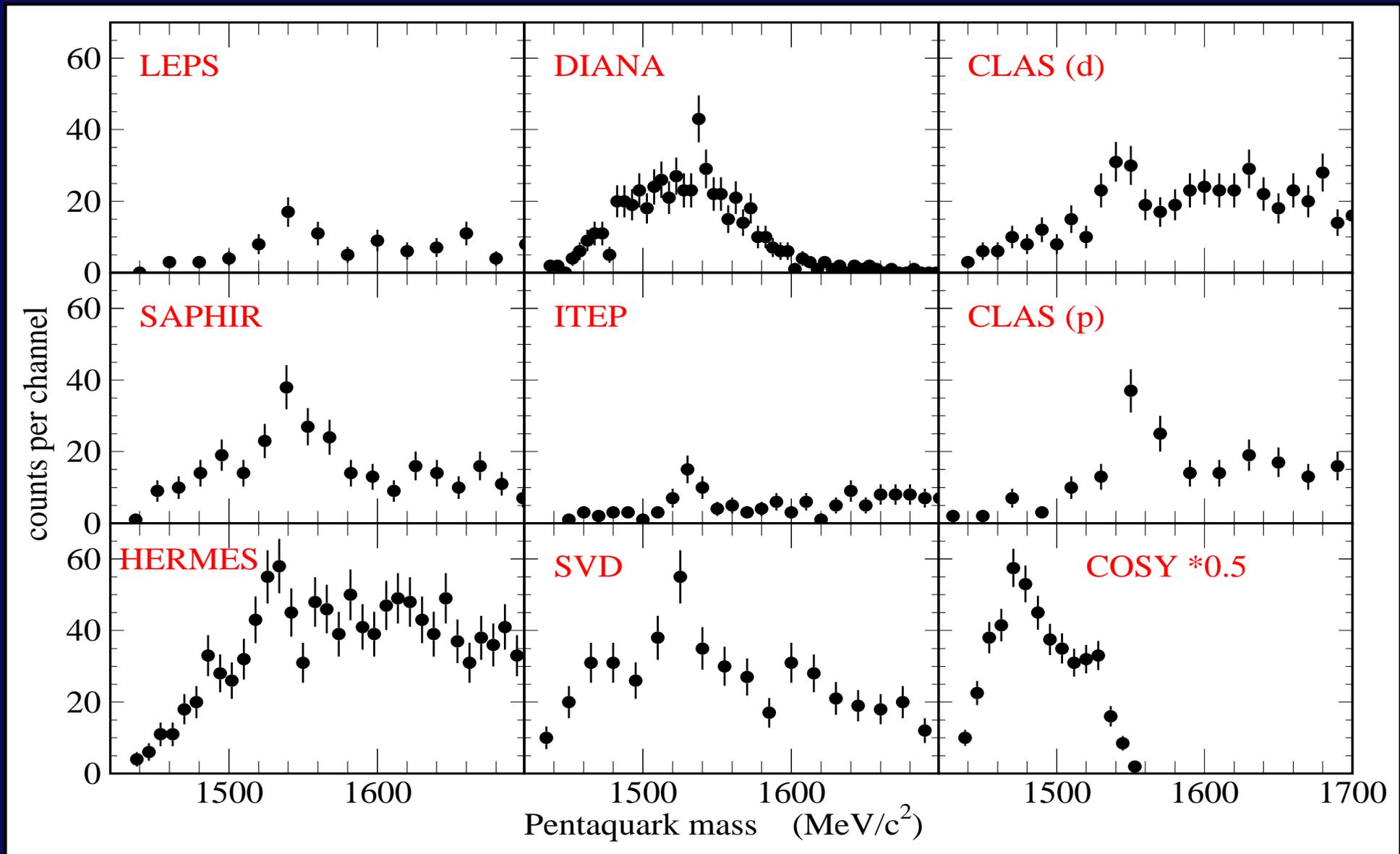
Experiment	$S/\sqrt{B}$	Poisson	$+\sigma_B$	+Mass ambiguity	Prob
LEPS	4.6 $\sigma$	4.0 $\sigma$	3.3 $\sigma$	2.9 $\sigma$	$3 \times 10^{-3}$
DIANA	4.4 $\sigma$	3.9 $\sigma$	3.8 $\sigma$	3.0 $\sigma$	$3 \times 10^{-3}$
CLAS- $d$	5.8 $\sigma$	5.2 $\sigma$	4.9 $\sigma$	4.6 $\sigma$	$5 \times 10^{-6}$
SAPHIR	7.3 $\sigma$	6.4 $\sigma$	5.7 $\sigma$	5.4 $\sigma$	$5 \times 10^{-8}$
Asrtyan <i>et al.</i>	6.7 $\sigma$	5.2 $\sigma$	4.9 $\sigma$	4.5 $\sigma$	$7 \times 10^{-6}$
CLAS- $p$	7.8 $\sigma$	6.4 $\sigma$	5.7 $\sigma$	5.4 $\sigma$	$6 \times 10^{-8}$
HERMES	4.7 $\sigma$	4.4 $\sigma$	4.3 $\sigma$	3.7 $\sigma$	$2 \times 10^{-4}$
SVD	5.7 $\sigma$	5.1 $\sigma$	4.7 $\sigma$	4.0 $\sigma$	$5 \times 10^{-5}$
COSY-TOF	5.9 $\sigma$	5.4 $\sigma$	5.4 $\sigma$	5.1 $\sigma$	$4 \times 10^{-7}$
ZEUS	6.1 $\sigma$	6.1 $\sigma$	5.9 $\sigma$	5.3 $\sigma$	$1 \times 10^{-7}$
Total				15 $\sigma$	$4 \times 10^{-52}$

New probability of  $4 \times 10^{-52}$  still seems impressive. However:

- Does not account for selecting cuts to enhance signal
- Does not account for the acceptance of various widths
- Multiplying probabilities is only correct if due to same effect

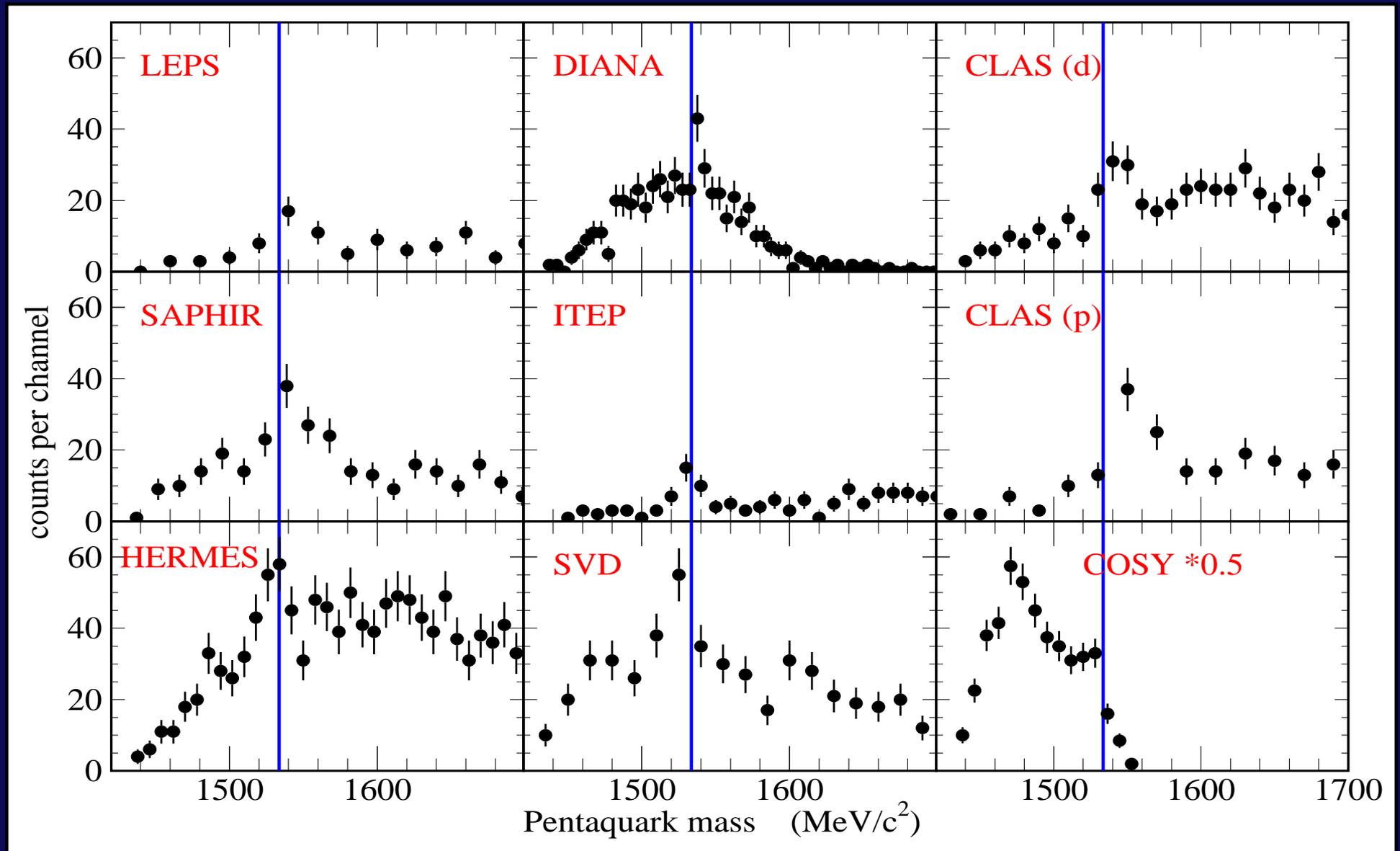
# Is this a convincing case?

Plots with errors and without fits (courtesy Pochodzall – hep-ex/0406077):



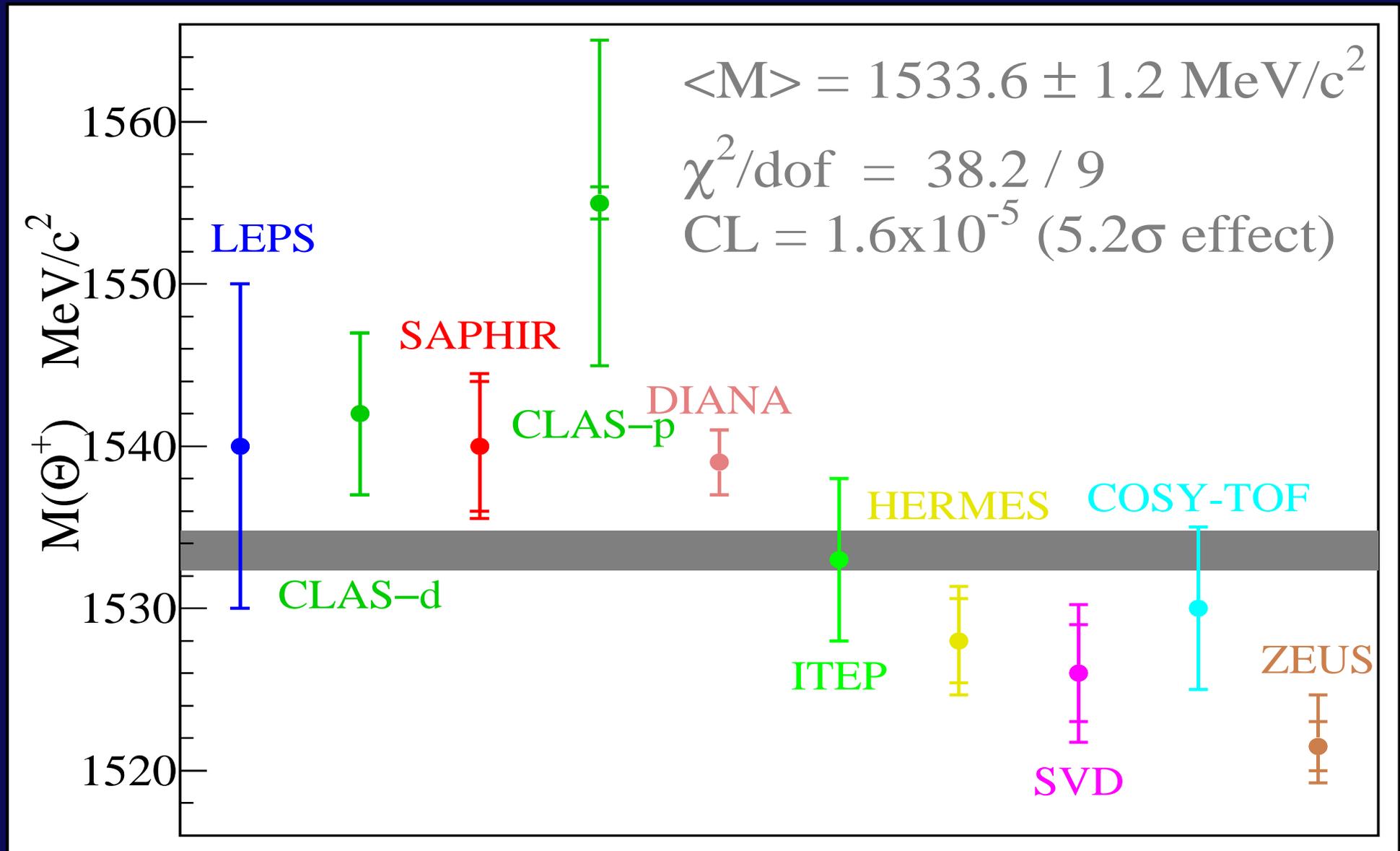
# Is this a convincing case?

Plots with errors and without fits (courtesy Pochodzall – hep-ex/0406077):



# Summary of $\Theta^+$ mass measurements

Lack of agreement on mass does not build confidence:



# Summary of observations

- 10 observations, all at the  $\sim 4\sigma$  level
- The measured masses disagree at the  $5\sigma$  level ( $CL = 10^{-5}$ )
- All observed widths are consistent with resolution ( $1\text{--}20\text{ MeV}/c^2$ )
- Most results from  $\gamma N$  but also  $\nu N$  and  $pN$  production
- Choice of cuts often not well motivated
- Evolution of signal versus cuts rarely given
- Finally, there exist many theories on how these peaks may be artifacts
- Need more experimental data to confirm or refute

Enter **FOCUS**: a photoproduction fixed-target experiment designed to study charm particles



# A FOCUS Group



Centro Brasileiro de Pesquisas Físicas,  
Rio de Janeiro



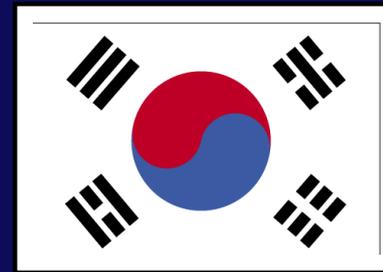
Laboratori Nazionali di Frascati dell'INFN  
INFN and Università degli Studi di Milano  
INFN and Università degli Studi di Pavia



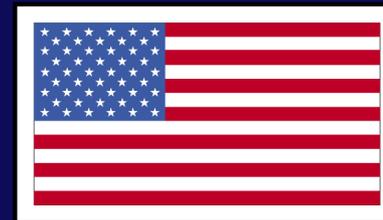
CINVESTAV, México City  
Universidad Autonoma de Puebla, Puebla  
University of Guanajuato, Guanajuato



University of Puerto Rico, Mayaguez



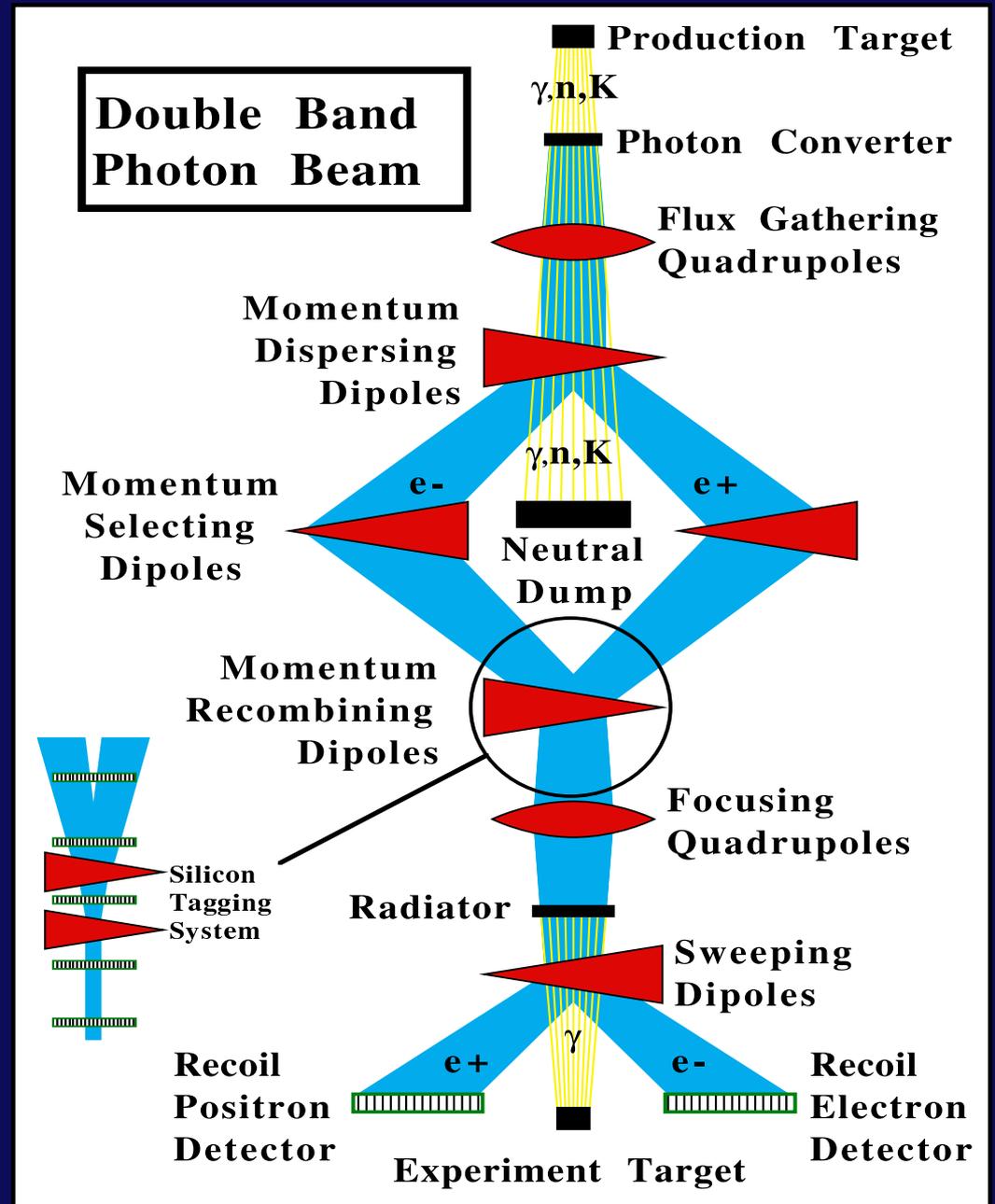
Korea University, Seoul  
Kyungpook National University, Taegu



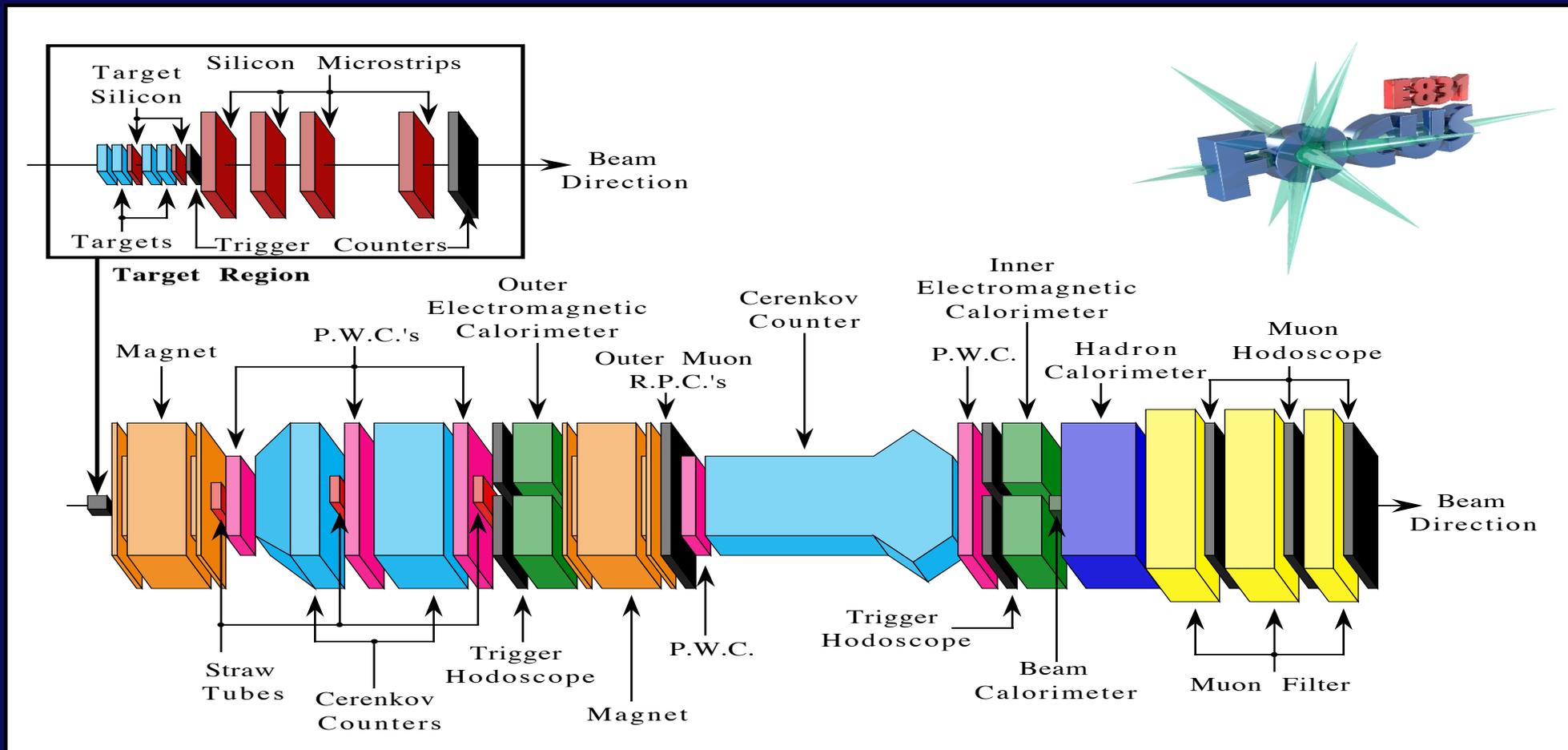
University of California, Davis  
University of Colorado, Boulder  
Fermi National Accelerator Laboratory  
University of Illinois, Urbana-Champaign  
University of North Carolina, Asheville  
University of South Carolina, Columbia  
University of Tennessee, Knoxville  
Vanderbilt University, Nashville  
University of Wisconsin, Madison

# FOCUS the light

- 800 GeV **protons** impact liquid deuterium target
- Charged particles swept out
- **Photons** converted to  $e^\pm$  which are bent around a neutral dump
- **Lepton** momentum measured by **silicon system**
- $e^\pm$  energy  $\sim 300$  GeV with  $\pm 15\%$  acceptance
- $e^\pm$  bremsstrahlung provides **photons** on experimental **BeO** target
- $e^\pm$  swept out and energy measured
- 20 s spill every minute with  $e^\pm$  at 53 MHz



# The FOCUS Detector



- 16 silicon planes with  $25 - 100 \mu\text{m}$  pitch provide production and decay vertex separation plus lifetime resolution
- Two magnets and five wire chambers measure momentum
- Three Čerenkov counters, two electromagnetic calorimeters, two muon detectors, and one hadron calorimeter provide particle ID

# FOCUS Trigger/Reconstruction

- 5 MHz of electromagnetic interactions in target ( $\gamma \rightarrow e^+e^-$ )
- 10 kHz of **hadronic** events, 100 Hz of **charm** events
- Open trigger requires **hadronic** energy ( $\gtrsim 25$  GeV) and charged tracks outside beam region
- Up to 40,000 events/spill written to tape
- Recorded 7 billion events in 1996–7 Fermilab fixed-target run on 6,000 tapes
- First pass reconstruction finished at Fermilab in 1998
- Second pass at Vanderbilt and Colorado reduced and split data into six streams of 250 tapes – finished 3/99



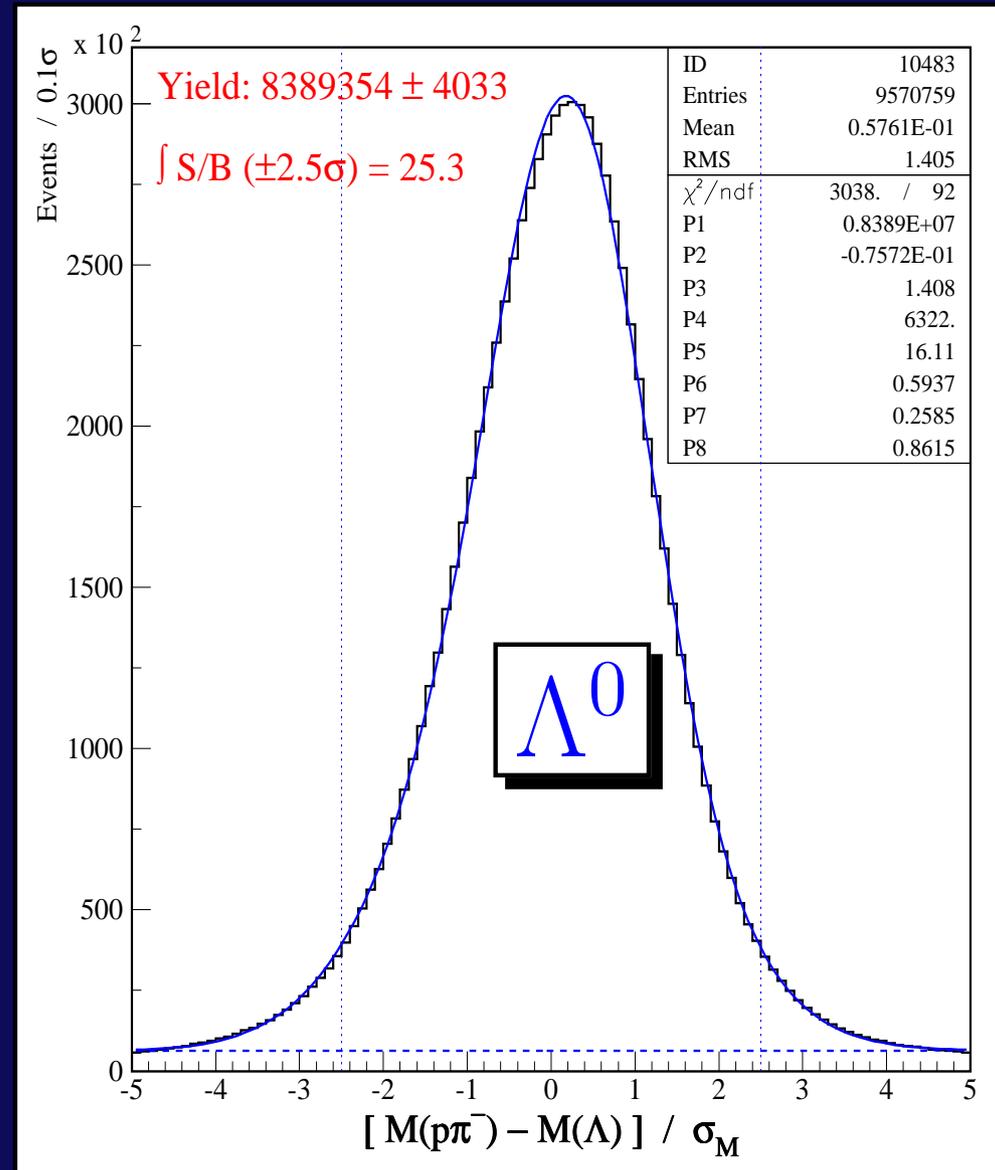
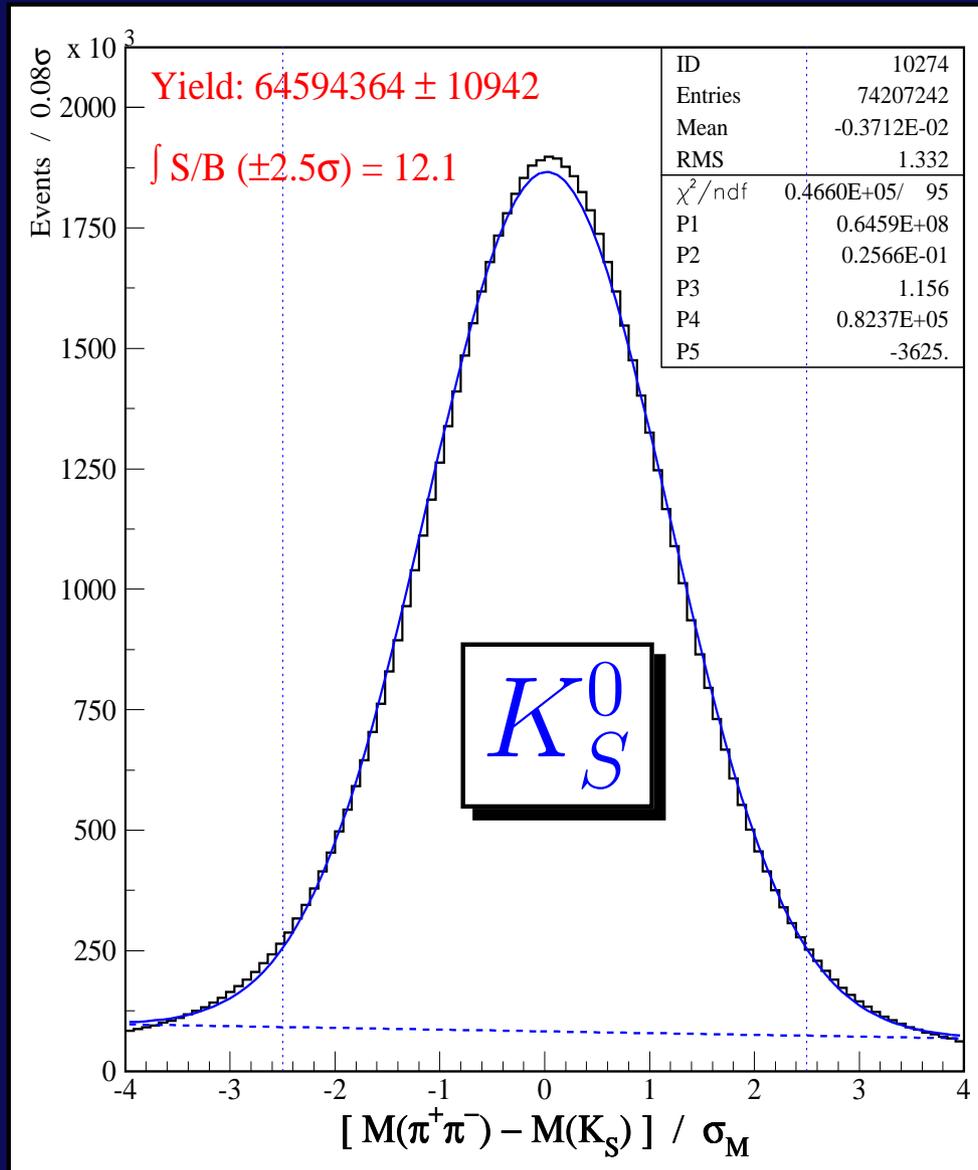
# FOCUS search for $\Theta(1540)^+ \rightarrow pK_S^0$

- Search for  $\Theta(1540)^+ \rightarrow pK_S^0$  and compare to  $K^*(892)^+ \rightarrow K_S^0\pi^+$  and  $\Sigma(1385)^\pm \rightarrow \Lambda^0\pi^\pm$  (similar topology)
- Reconstruct  $K_S^0 \rightarrow \pi^+\pi^-$  and  $\Lambda^0 \rightarrow p\pi^-$  (called vees)
- Use Čerenkov ID on fast track to separate  $K_S^0$  and  $\Lambda^0$
- Remaining good quality tracks must be consistent with one vertex (CL>1%) suppressing charm decays and reinteractions
- Various minor clean up cuts applied to vees and charged tracks
- Mass of  $K_S^0$  or  $\Lambda^0$  candidate within  $2.5\sigma$  of nominal mass
- Very stringent Čerenkov ID cut applied to proton in  $pK_S^0$  (misid  $\sim 0$ )



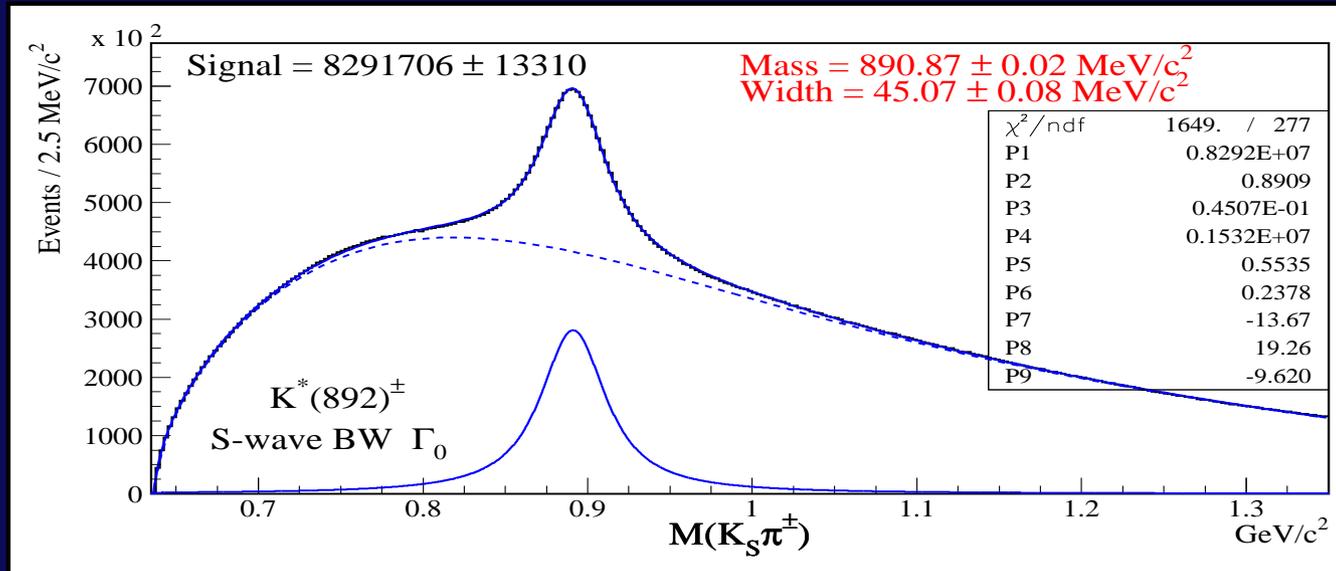
# FOCUS Vee samples

FOCUS sample of vee candidates ( $K_S^0 \rightarrow \pi^+\pi^-$  &  $\Lambda^0 \rightarrow p\pi^-$ )

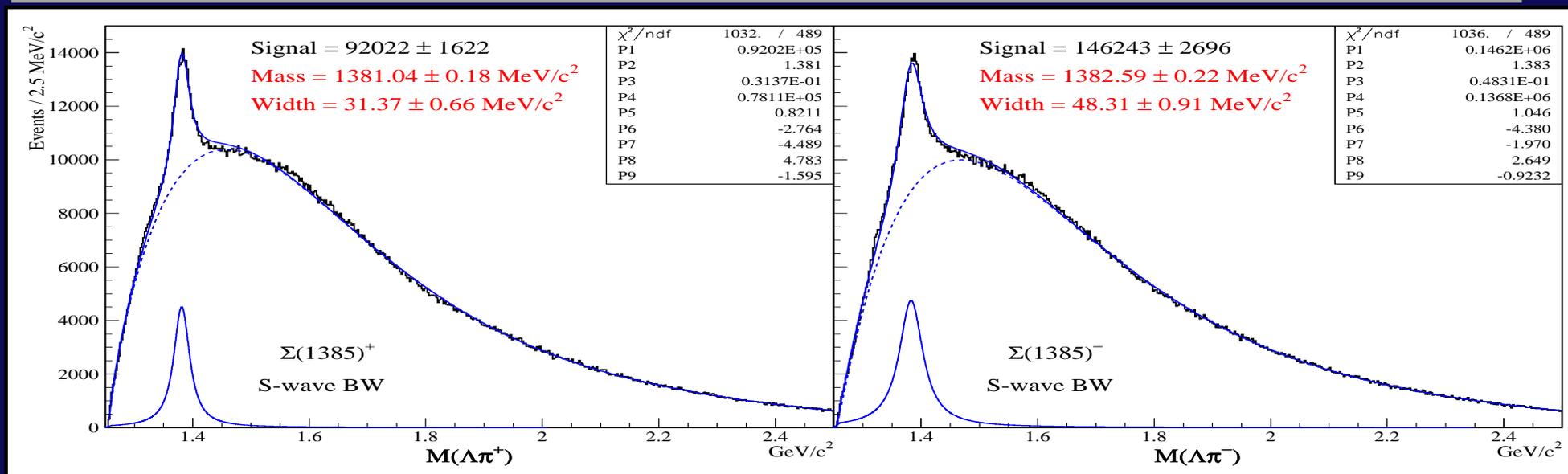


# $K^*(892)^+ \rightarrow K_S^0 \pi^+$ & $\Sigma(1385)^\pm \rightarrow \Lambda \pi^\pm$

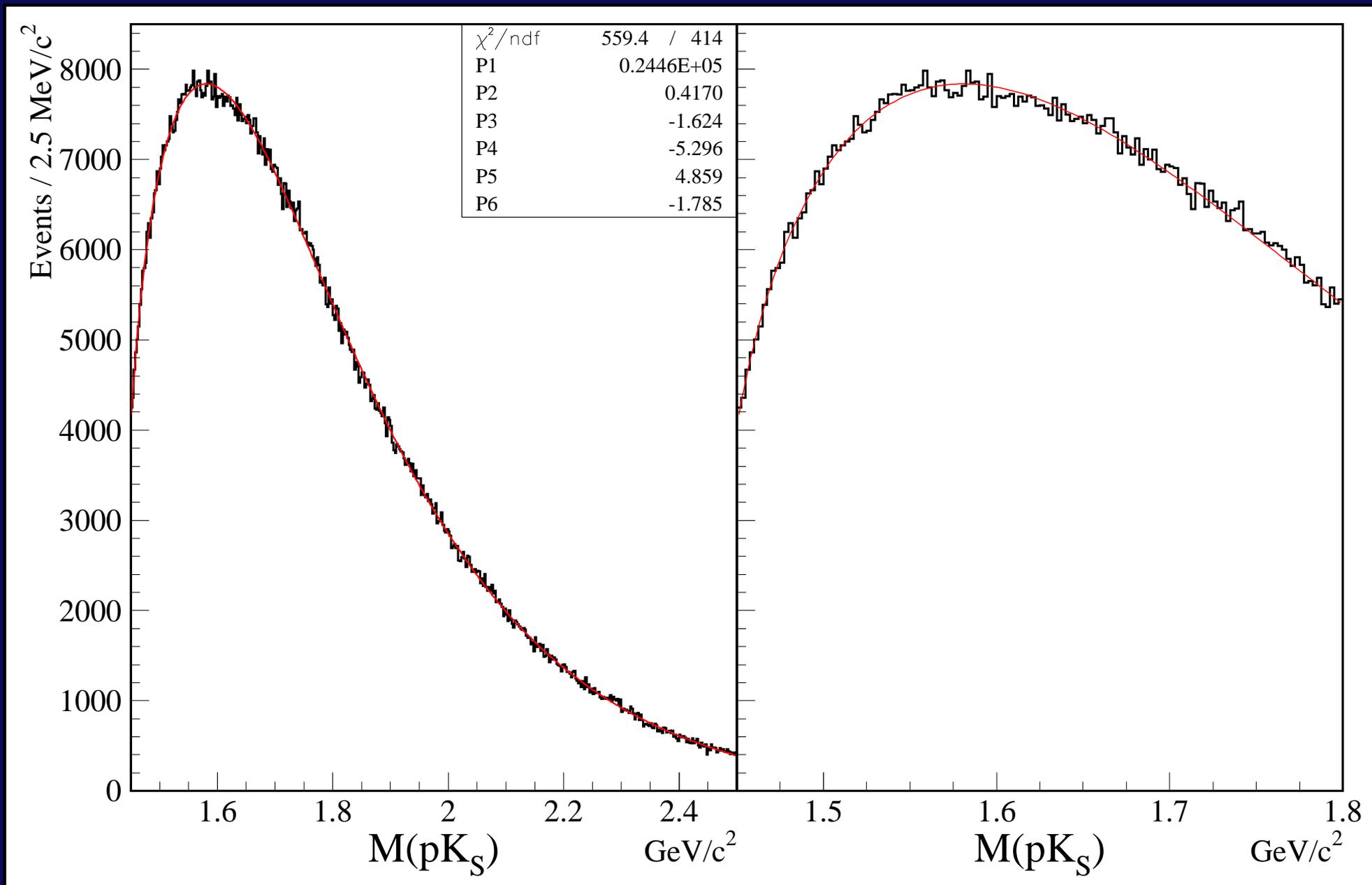
Signal shape:  
S-wave Breit-Wigner  
with energy independent  
width convoluted  
with detector resolution



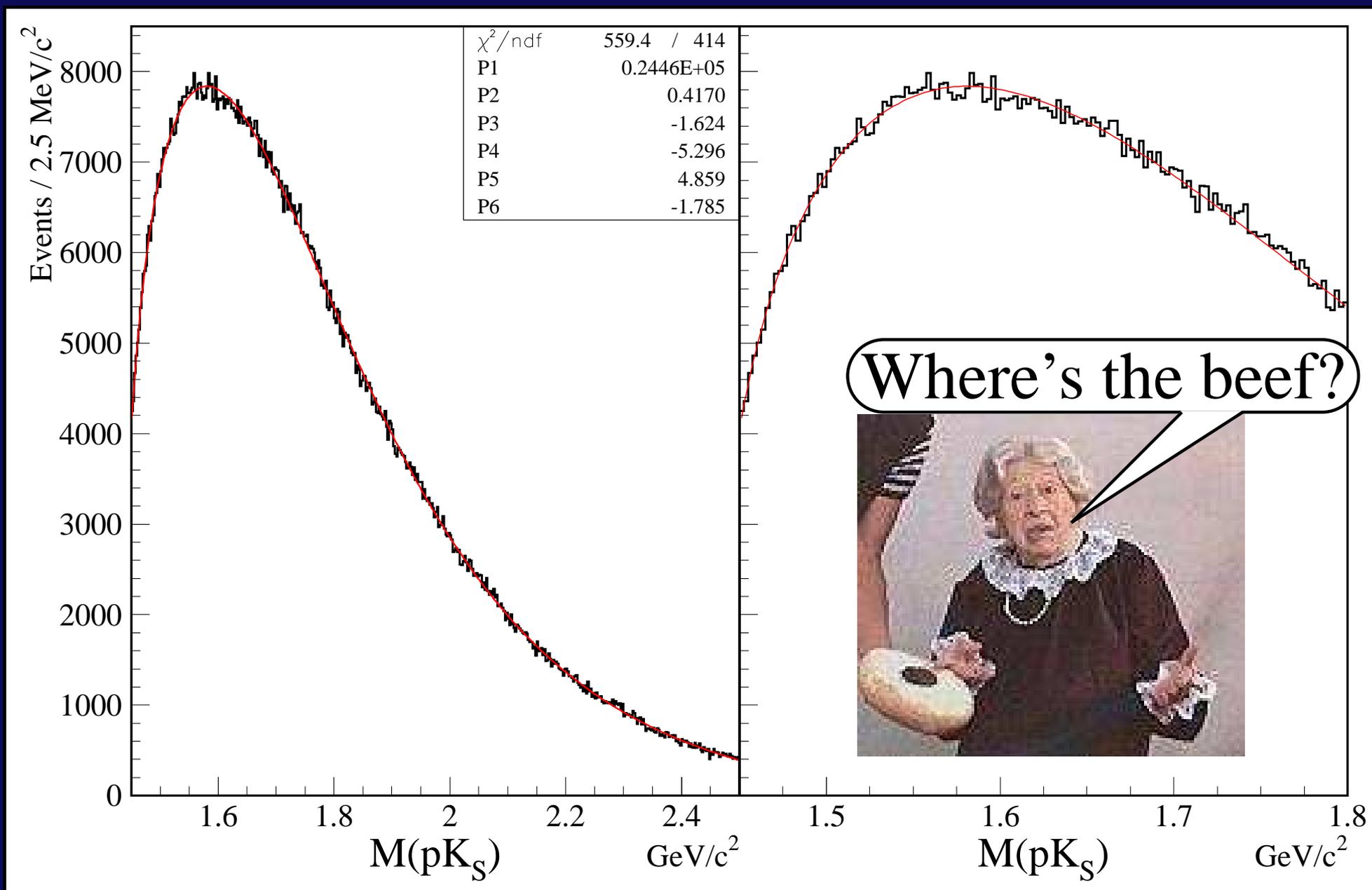
Background shape:  $q^a \exp(bq + cq^2 + dq^3 + eq^4)$ ,  $q \equiv M(\Lambda\pi) - M(\Lambda) - m_\pi$



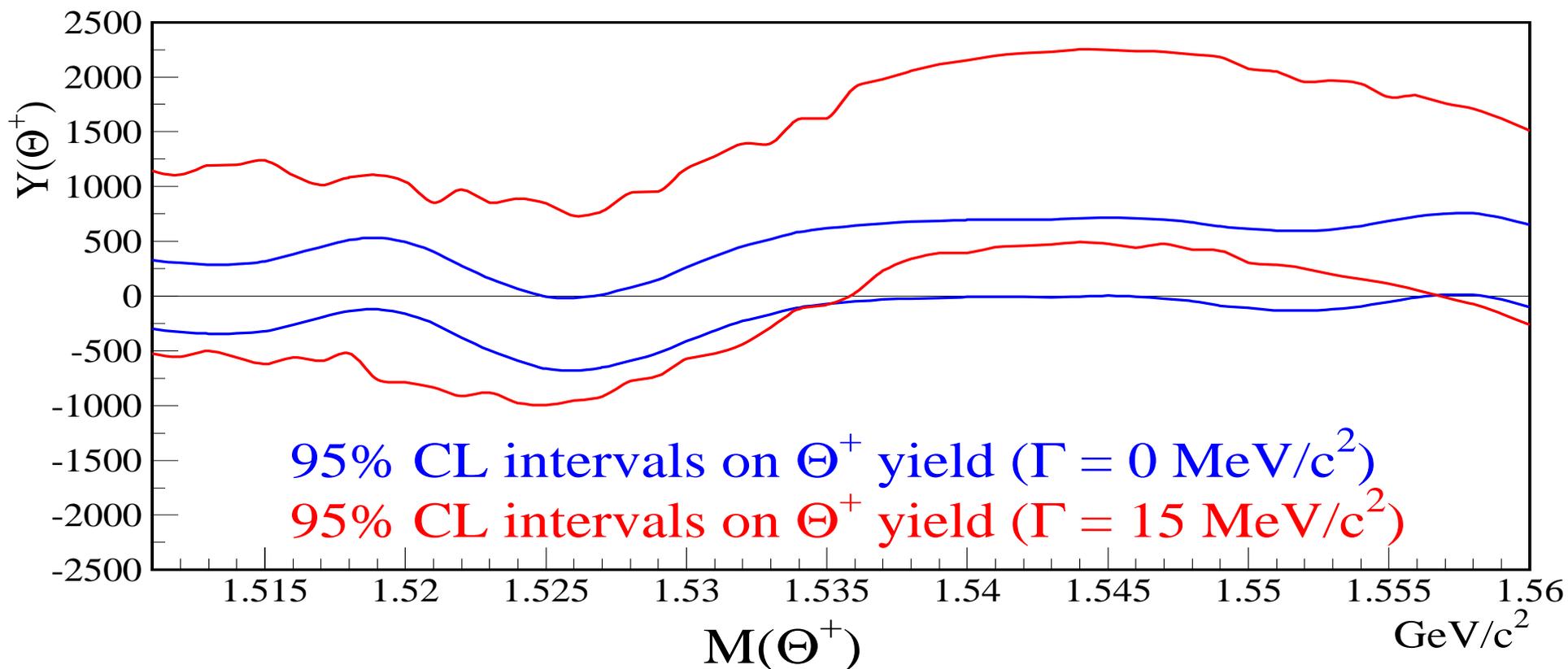
# FOCUS search for $\Theta^+ \rightarrow pK_S^0$



# FOCUS search for $\Theta^+ \rightarrow pK_S^0$



# Limits on $\Theta^+ \rightarrow pK_S^0$ yield



- Fit for signal in  $1 \text{ MeV}/c^2$  steps from  $1511$  to  $1560 \text{ MeV}/c^2$
- Find where  $-2 \ln \mathcal{L}$  changes by  $3.84$  (95% CL) w.r.t minimum as yield is varied
- Include mass resolution  $\sigma = 2.4\text{--}3.1 \text{ MeV}/c^2$  in fits with  $\Gamma = 0$  and  $\Gamma = 15 \text{ MeV}/c^2$

# Convert to production

## Accounting for acceptance and inefficiency

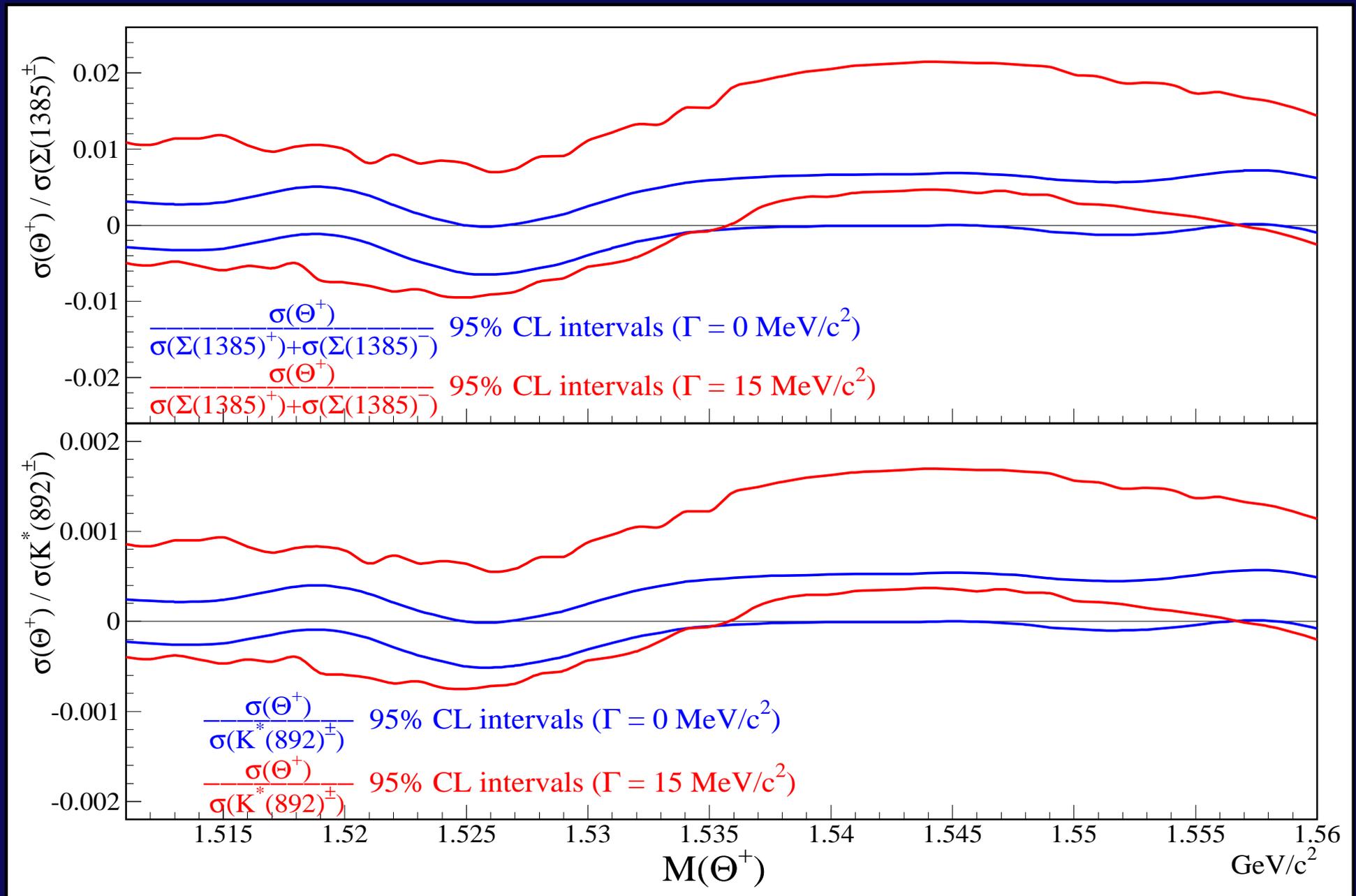
- Ratio  $\Theta(1540)^+$  production to  $K^*(892)^+$  &  $\Sigma(1385)^\pm$  production
- Need ratio of acceptance+efficiency — from Monte Carlo program
- **FOCUS** acceptance depends on unknown production mechanism
- Assume reasonable production model:  $\Theta(1540)^+$  produced like  $\Sigma(1385)^+$  — largest source of uncertainty
- Use PYTHIA event generator to model production and **FOCUS** simulation to account for acceptance and efficiency

## Also need to account for branching ratios

Decay	B.R.	Decay	B.R.
$K^*(892)^+ \rightarrow \bar{K}^0 \pi^+$	66.6%	$\Lambda^0 \rightarrow p \pi^-$	63.9%
$K_S^0 \rightarrow \pi^+ \pi^-$	68.6%	$\Sigma(1385)^\pm \rightarrow \Lambda^0 \pi^\pm$	88.0%
$\bar{K}^0 \rightarrow K_S^0$	50.0%	$\Theta(1540)^+ \rightarrow p \bar{K}^0$	50.0%



# Limits on $\Theta^+$ production



# Summary of $\Theta(1540)^+$ results

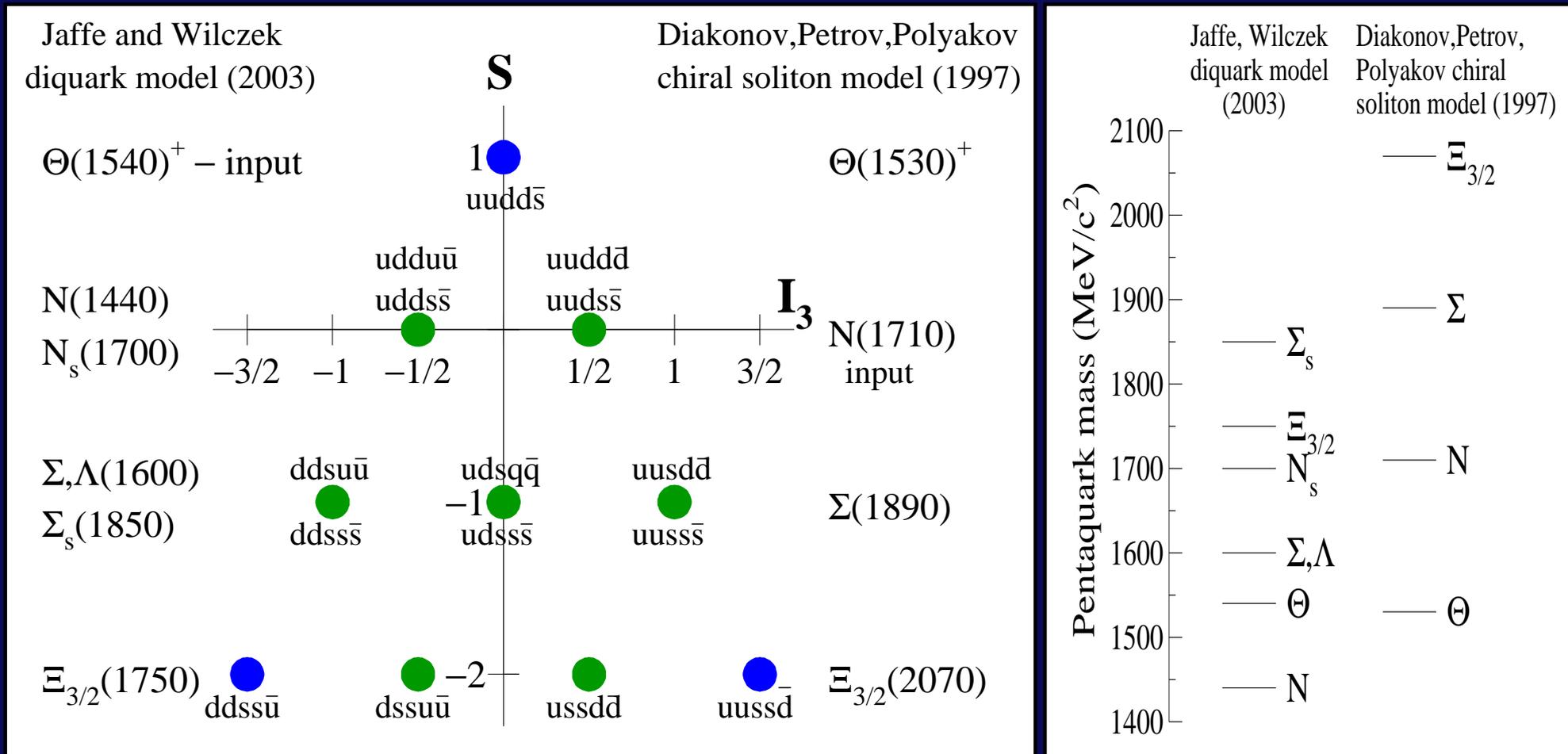
Experiment	Yield $\Theta(1540)^+$	$\sigma_{M_\Theta}$ MeV/c <sup>2</sup>	Yield $\Lambda(1520)^0$	Yield/10 <sup>3</sup> $K_S^0$	Yield/10 <sup>3</sup> $K^{*+}$	Yield/10 <sup>3</sup> $\Sigma(1385)^\pm$
LEPS $\gamma n$	19 ± 3	18	25			
DIANA $K^+Xe$	29	3.3		25		
CLAS $\gamma d$	43	12	212			
SAPHIR $\gamma p$	63 ± 13	12	630			
Asratyan $\nu N$	26 ± 6	8.5		6		
CLAS $\gamma p$	41 ± 10	12			1.4	
HERMES $ed$	59 ± 16	6	710	1		
SVD $pN$	50	3			0.3	0.2
COSY-TOF $pp$	~60	10		1		
ZEUS $ep$	221 ± 48	2	~2000	867		
<b>FOCUS <math>\gamma N</math></b>	<b>&lt; 695</b>	<b>2.8</b>		<b>65000</b>	<b>8300</b>	<b>238</b>
ALEPH $e^+e^-$	< 140	4	2874	1200	100	
BABAR $e^+e^-$	≲ 500	2	40000			
BELLE $e^+e^-$	< 120	2	15519			
CDF $p\bar{p}$	< 154	2.6	8191	2300	52	
E690 $pp$	< 25	1.5	5000		15	
HERA-B $pN$	≲ 30	3.9	5600	4900		
HyperCP $X^+N$	< 406	11		80		
SPHINX $pN$	< 125	10	25000			2.5

# Summary of $\Theta(1540)^+$ results

Experiment	Yield $\Theta(1540)^+$	$\sigma_{M_\Theta}$ MeV/c <sup>2</sup>	Yield $\Lambda(1520)^0$	Yield/10 <sup>3</sup> $K_S^0$	Yield/10 <sup>3</sup> $K^{*+}$	Yield/10 <sup>3</sup> $\Sigma(1385)^\pm$
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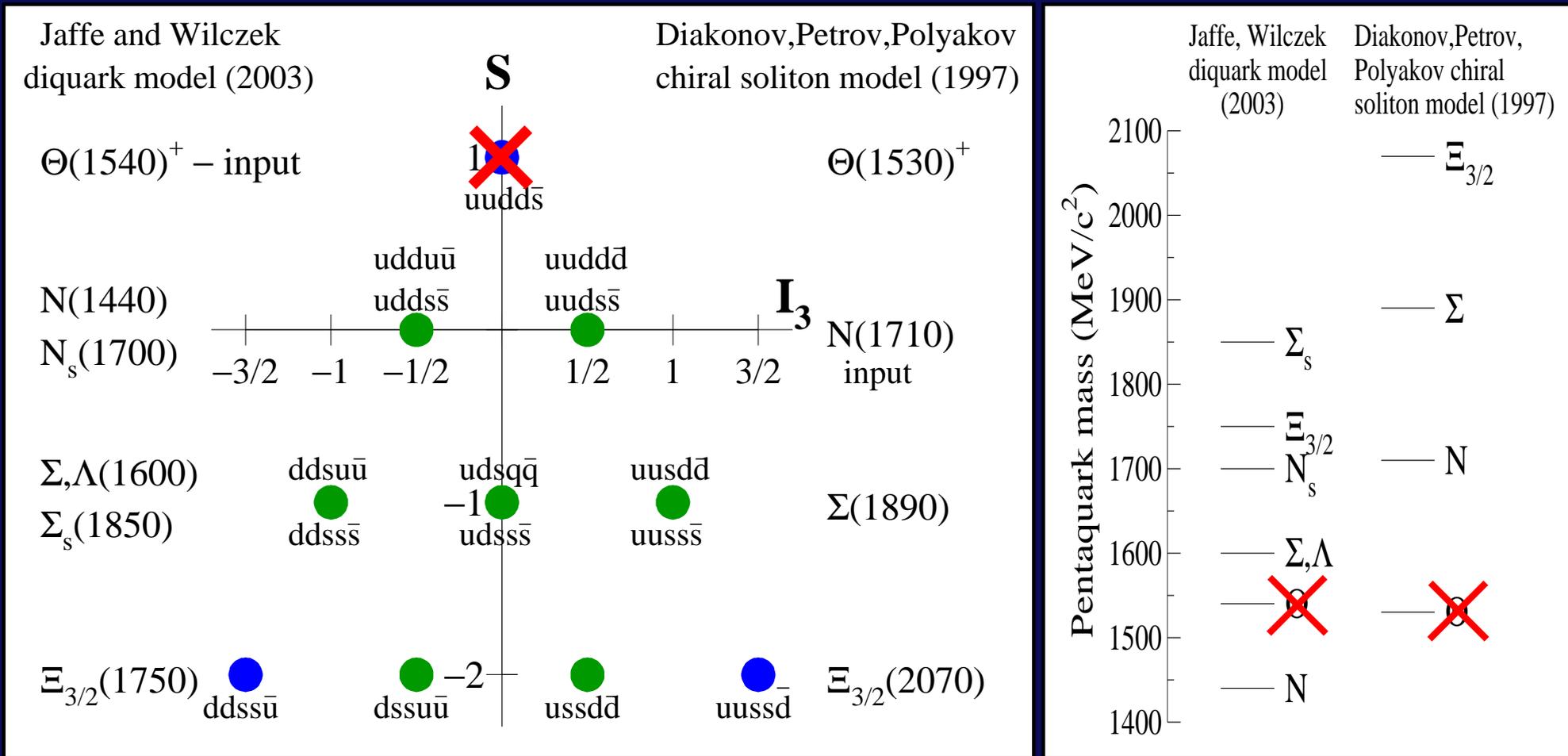
# What about other pentaquarks?

- $\Theta(1540)^+$  evidence is quite shaky



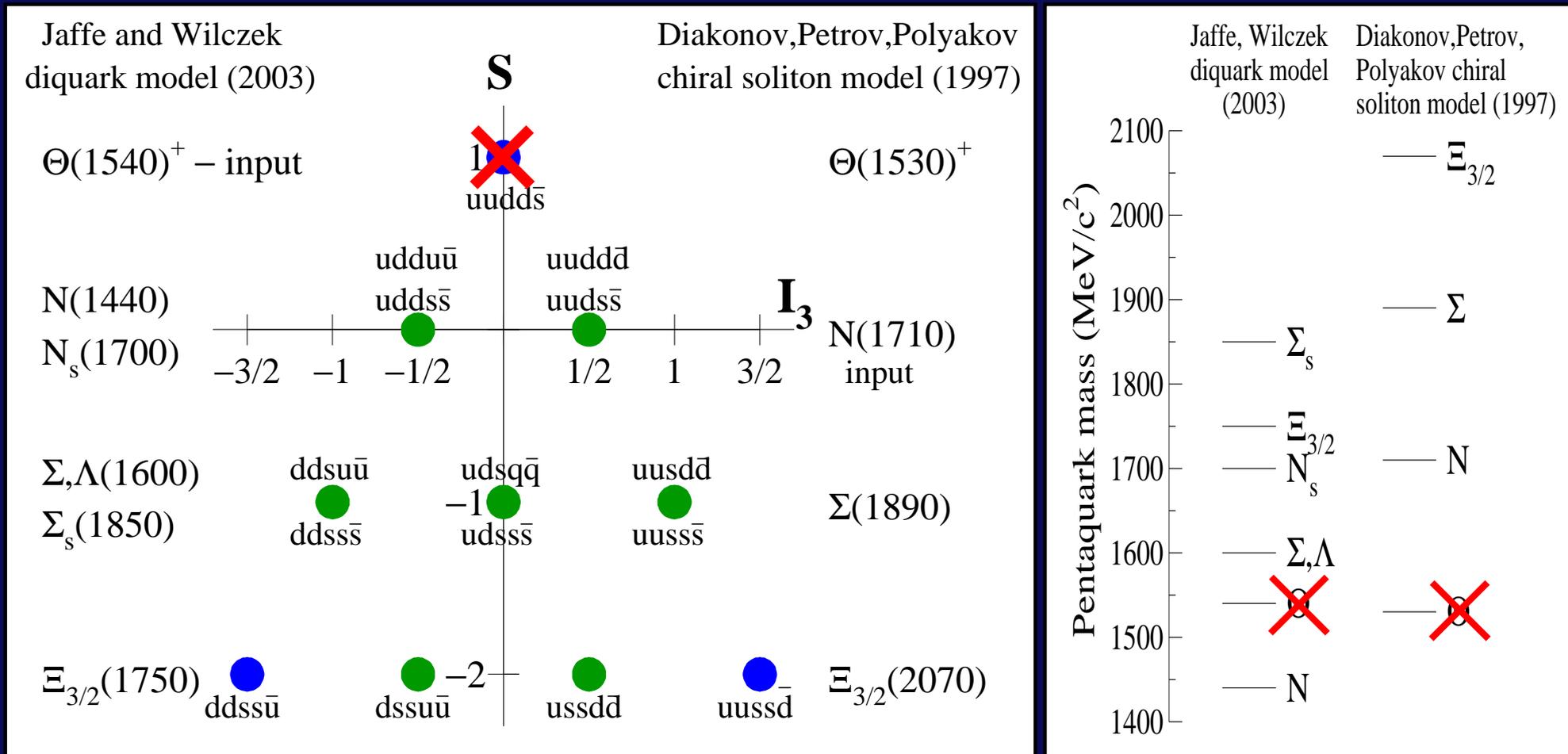
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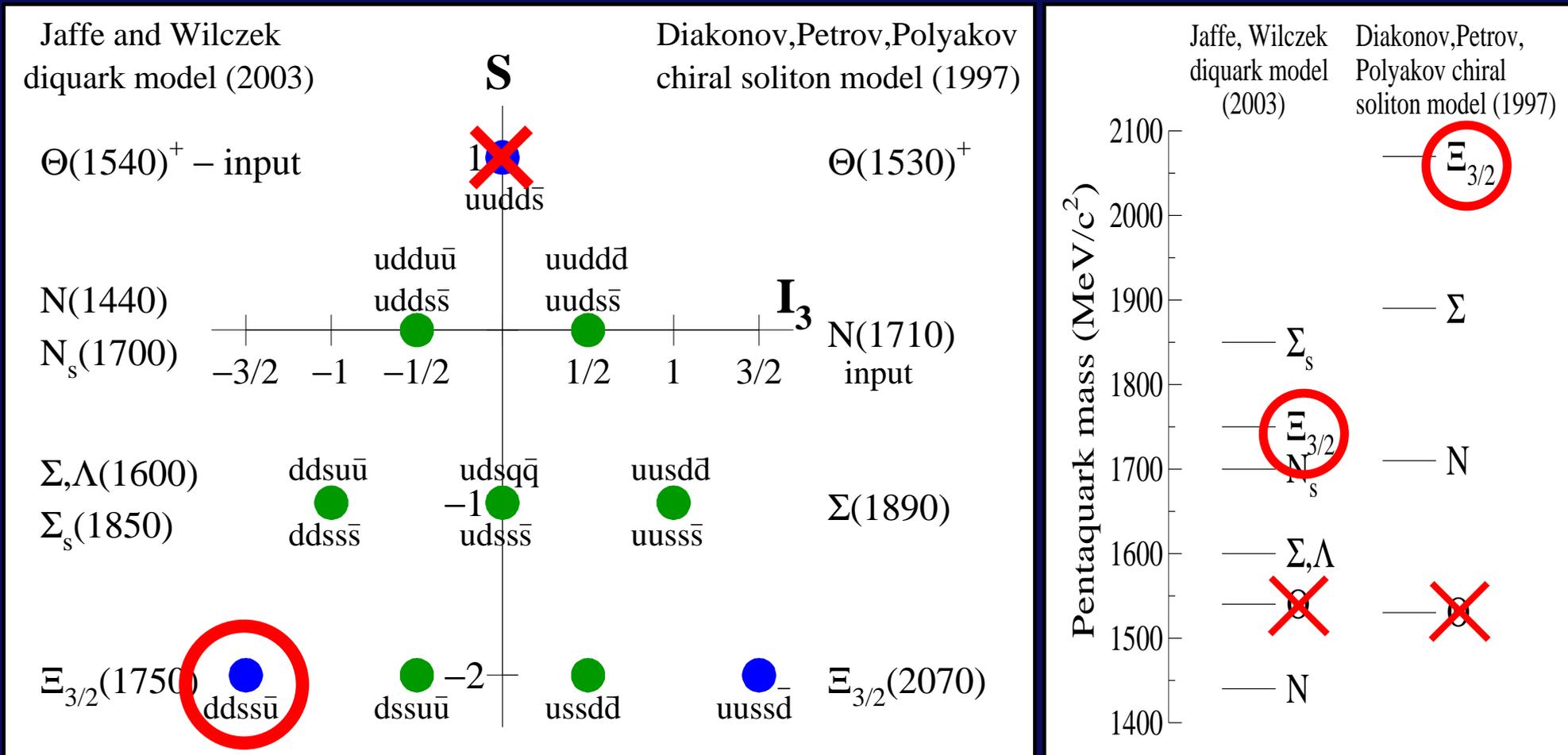
# What about other pentaquarks?

- $\Theta(1540)^+$  evidence is quite shaky
- What about other states?



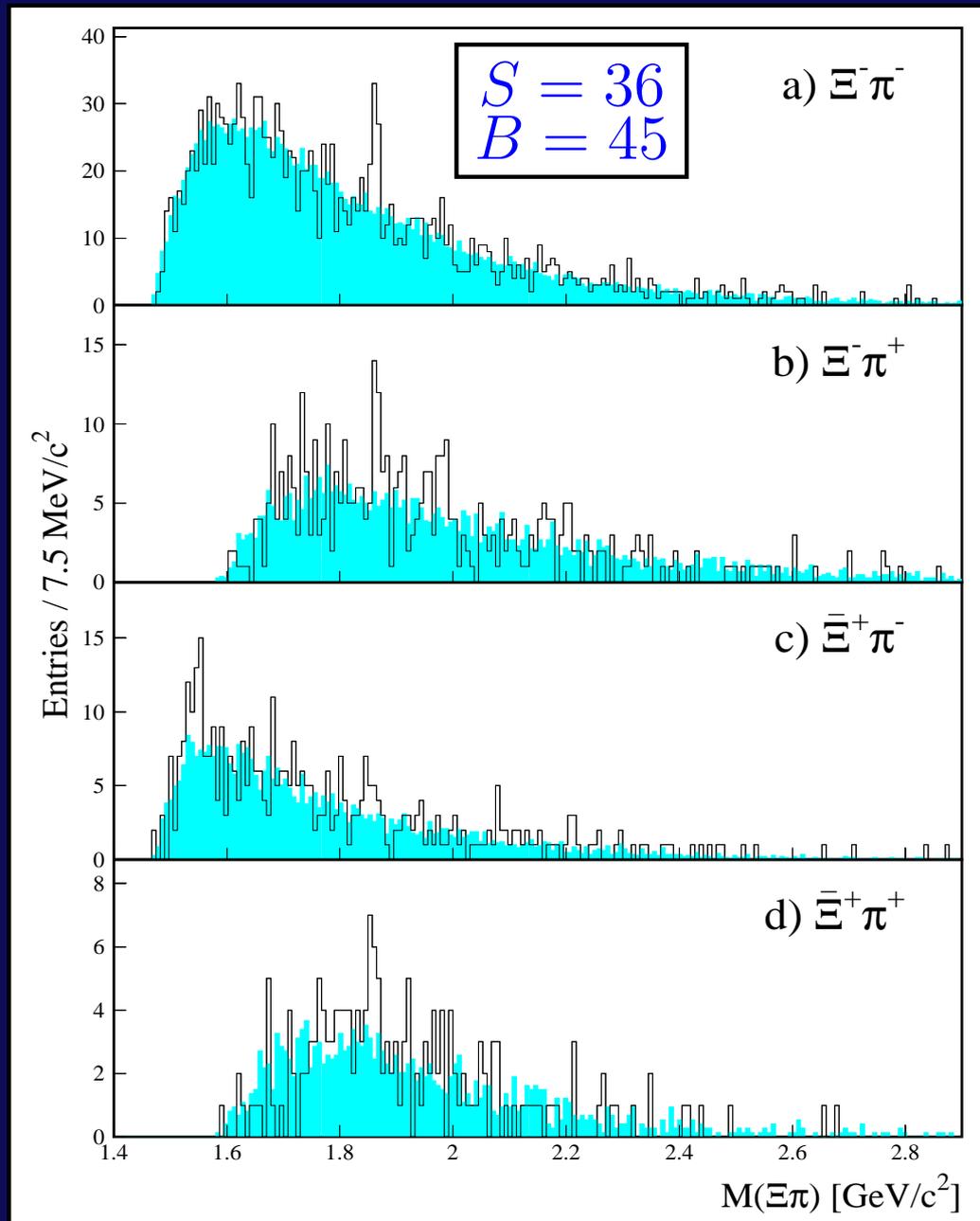
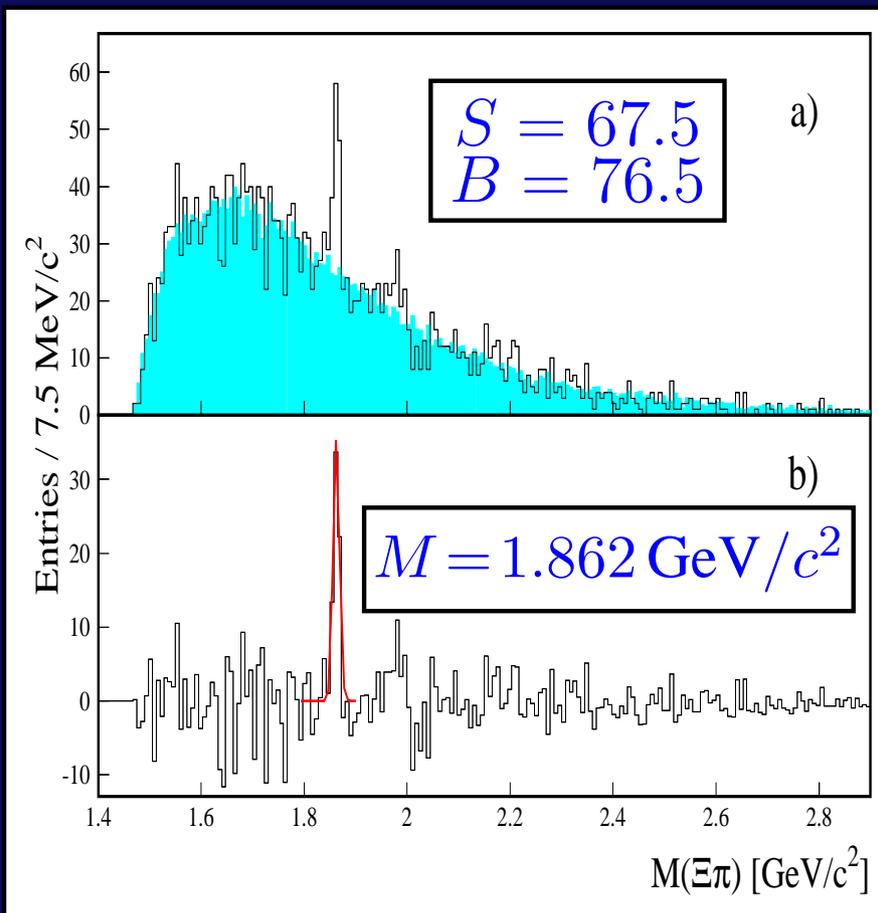
# What about other pentaquarks?

- $\Theta(1540)^+$  evidence is quite shaky
- What about other states?
- $\Xi_{3/2}^{--}(dds\bar{s}\bar{u})$  is manifestly exotic
- Possible decay:  $\Xi^{--} \rightarrow \Xi^- \pi^-$ ,  $\Xi^- \rightarrow \Lambda^0 \pi^-$



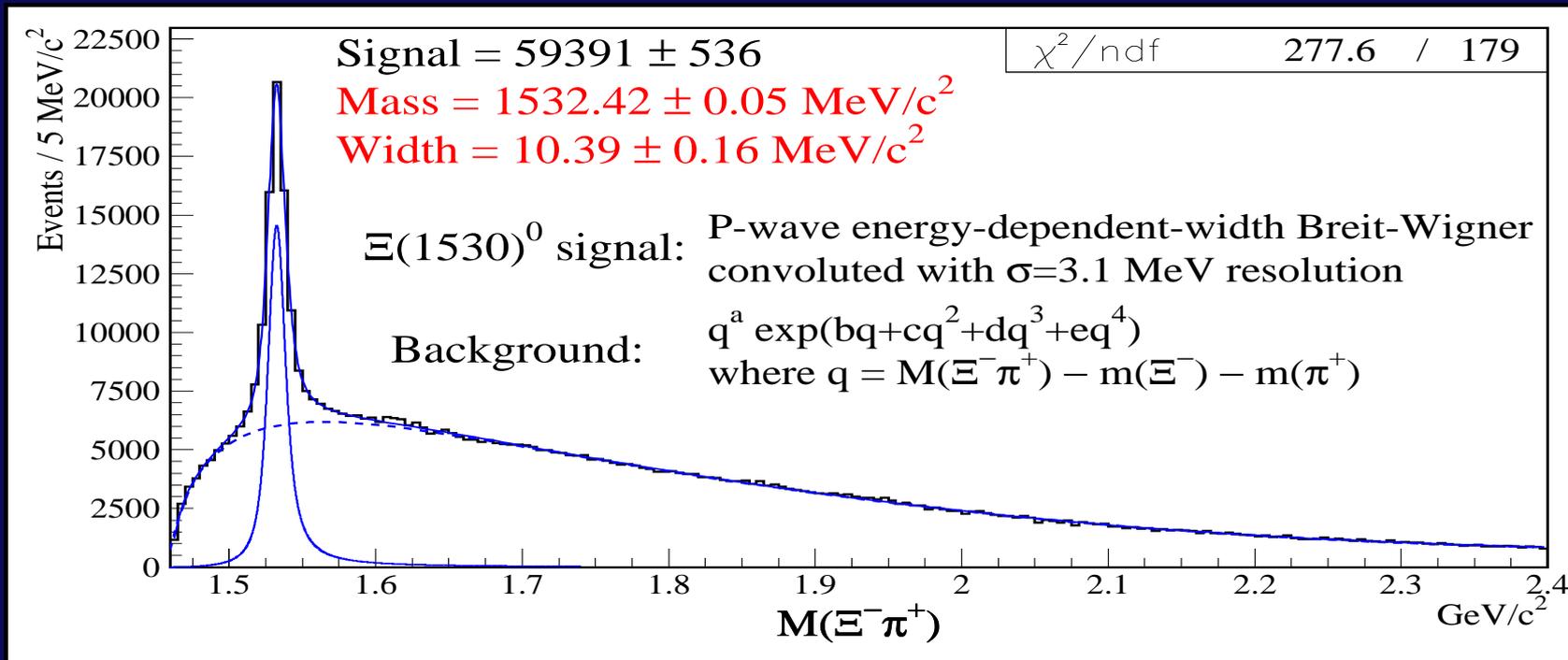
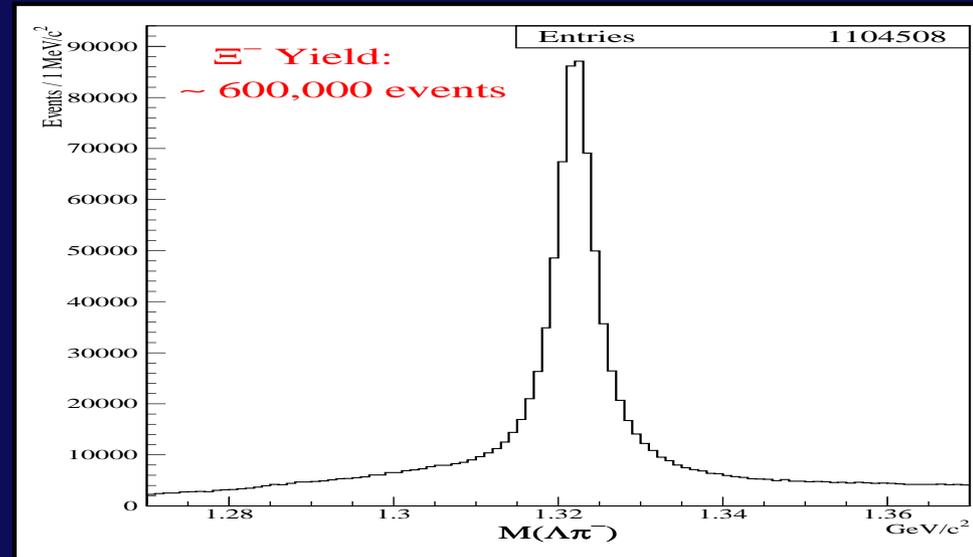
# NA49 observation of $\Xi_{3/2}(1862)^{--}$

NA49 uses 158 GeV  $p$  on  $\text{LH}_2$ ; evidence for  $\Xi_{3/2}(1862)^{--} (ddss\bar{u})$  and  $\Xi_{3/2}(1862)^0$  decaying  $\Xi^- \pi^\pm$

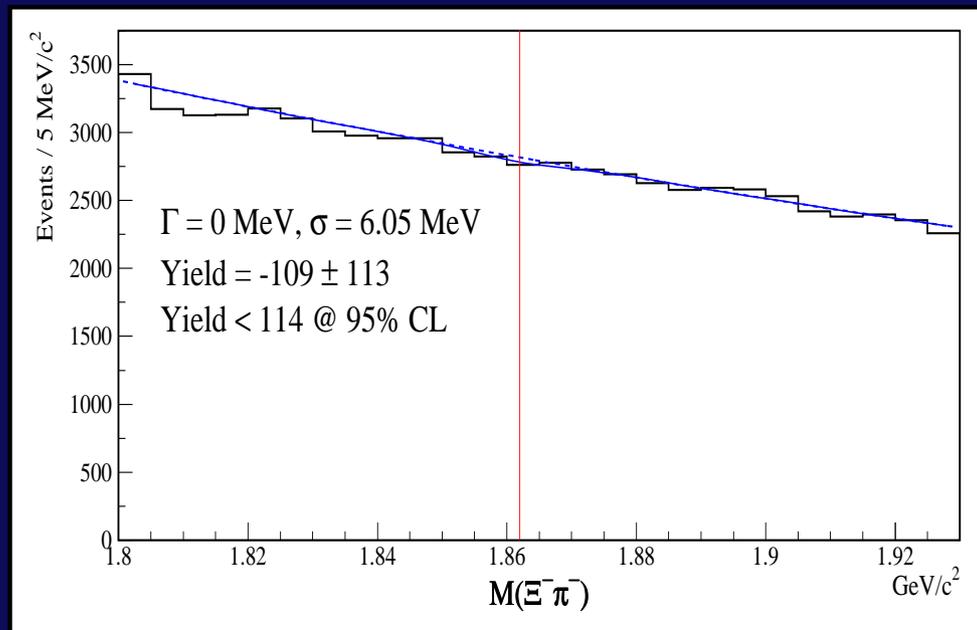
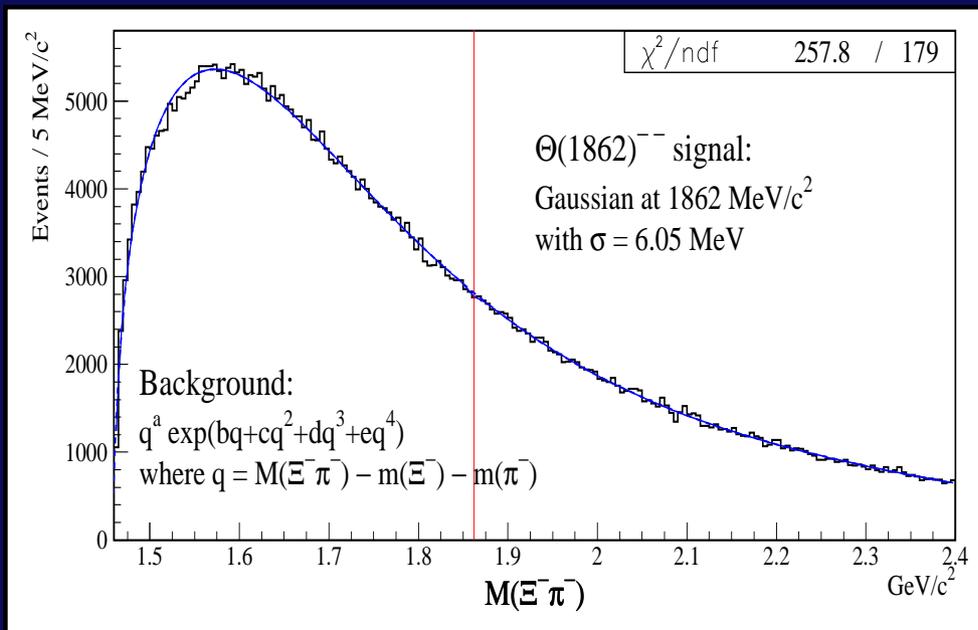


# FOCUS search for $\Xi_{3/2}(1862)^{--} \rightarrow \Xi^- \pi^-$

- $\sim 600,000 \Xi^- \rightarrow \Lambda^0 \pi^-$  sample
- Vertex  $\Xi^-$  with  $\pi^\pm$  and find production vertex
- Require  $< 4\sigma$  separation between vertices
- In  $\Xi^- \pi^+$ , observe  $\sim 60,000 \Xi(1530)^0$  candidates



# Results of search for $\Xi_{3/2}(1862)^{--}$



- Convert limit of  $< 114$  events @ 95% CL to cross section ratio w.r.t.  $\Xi(1530)^0$  assuming production like  $\Xi(1530)^0$
- Efficiency ratio is  $\frac{\epsilon(\Xi_{3/2}(1862)^{--} \rightarrow \Xi^- \pi^-)}{\epsilon(\Xi(1530)^0 \rightarrow \Xi^- \pi^+)} = 0.78$
- Also account for  $BR(\Xi(1530)^0 \rightarrow \Xi^- \pi^+) = 2/3$
- Thus, for a  $\Xi_{3/2}(1862)^{--}$  produced like  $\Xi(1530)^0$  we obtain the limit:

$$\frac{\sigma(\Xi_{3/2}(1862)) \times BR(\Xi_{3/2}(1862) \rightarrow \Xi^- \pi^-)}{\sigma(\Xi(1530))} < 0.16\% \text{ @ } 95\% \text{ CL}$$

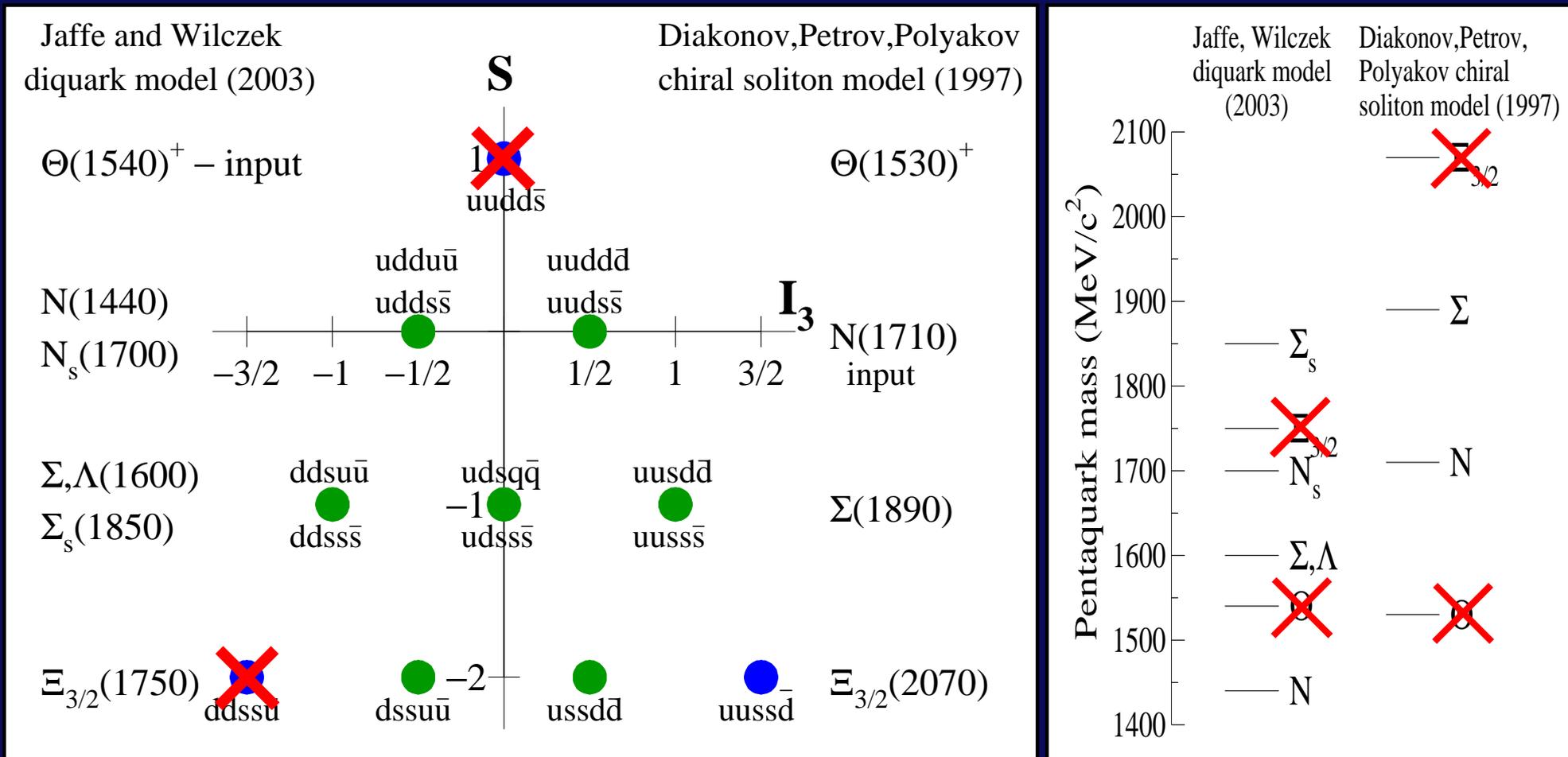
# Summary of $\Xi_{3/2}(1862)^{--}$ searches

Experiment	Yield $\Xi_{3/2}(1862)^{--}$	Yield $\Xi(1530)^0$	$\frac{\sigma(\Xi_{3/2}(1862)^{--}) \cdot \text{BR}}{\sigma(\Xi(1530)^0)}$
NA49 $pp$	$\sim 45$	$\sim 150$	$\sim 20.00\%$
<b>FOCUS</b> $\gamma N$	<b><math>&lt; 114</math></b>	<b><math>59391 \pm 536</math></b>	<b><math>&lt; 0.16\%</math></b>
ALEPH $e^+e^-$		$322 \pm 33$	$< 8.00\%$
BABAR $e^+e^-$	$\lesssim 80$	$\sim 5000$	$< 0.50\%$
CDF $p\bar{p}$	$< 63$	$2182 \pm 92$	$< 4.00\%$
E690 $pp$	$< 561$	$93728 \pm 422$	$< 0.40\%$
HERA-B $pN$		2300	$< 2.70\%$
HERMES $ed$	$< 5$	$35 \pm 11$	$< 18.00\%$
WA89 $\Sigma^-, \pi^- N$		63000	$< 1.40\%$
ZEUS $ep$		$192 \pm 30$	$< 19.00\%$

- **FOCUS** has the best limit on the ratio of  $\Xi_{3/2}(1862)^{--}$  production relative to  $\Xi(1530)^0$  production
- All results in serious disagreement with NA49 observation

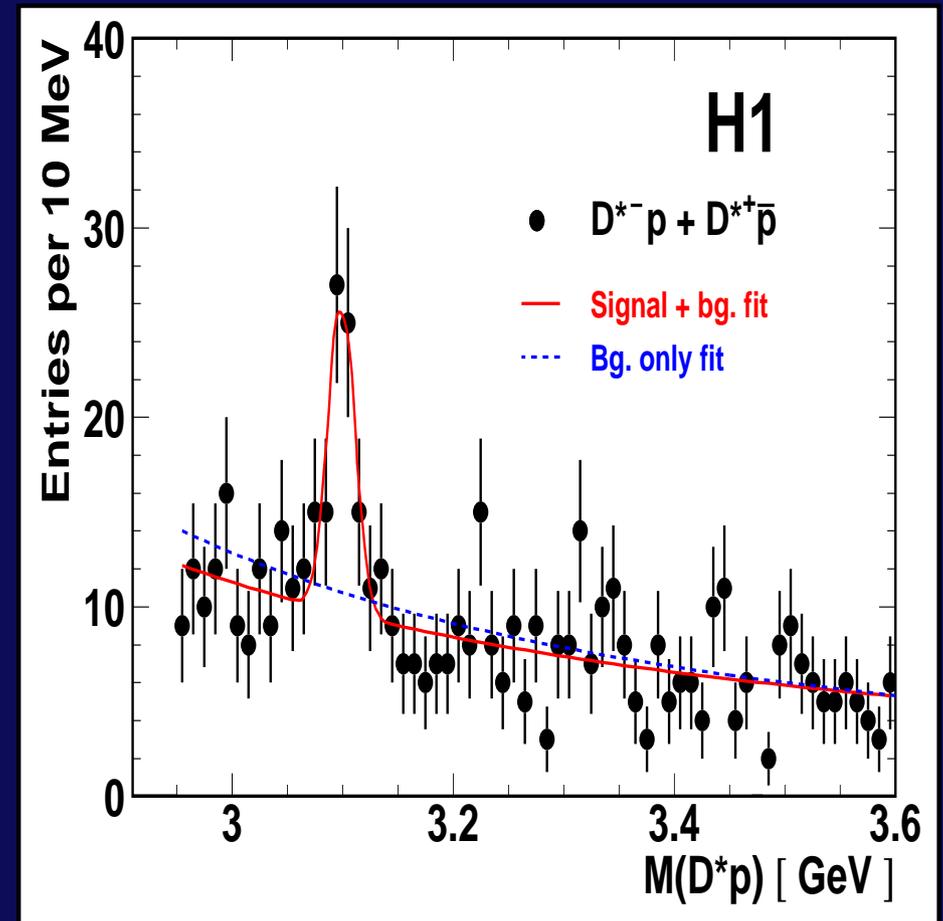
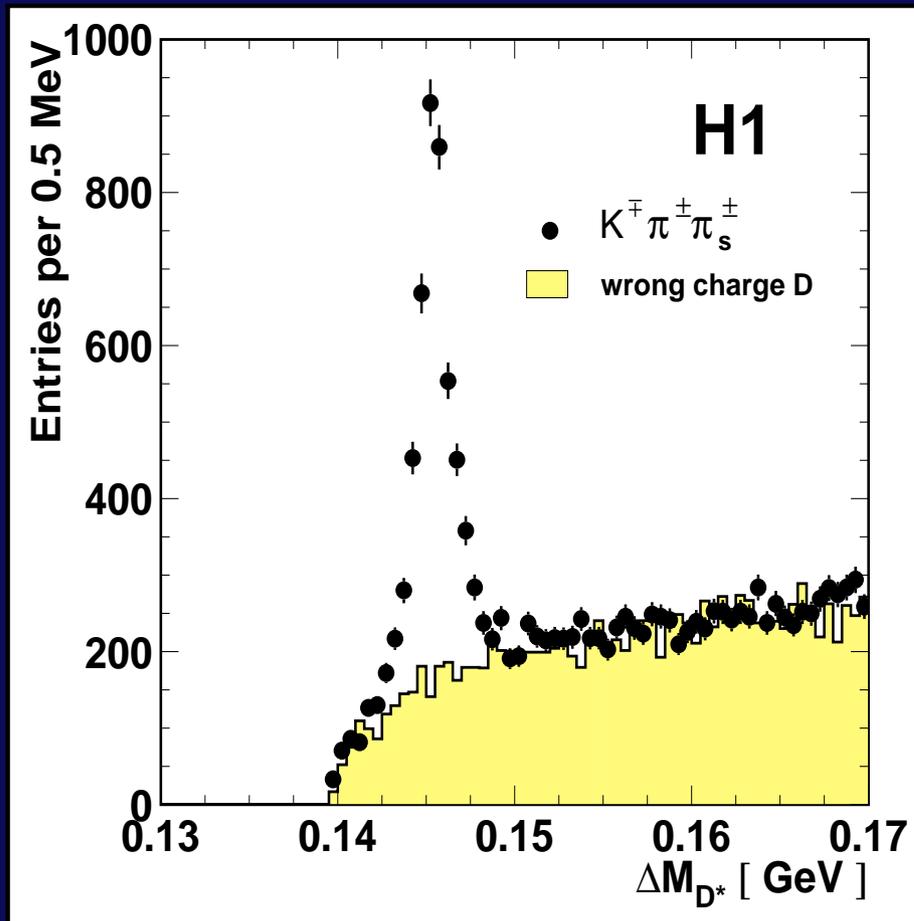
# That's two down!

- Evidence for  $\Theta(1540)^+$  and  $\Xi_{3/2}^{--}(1862)$  seems rather weak



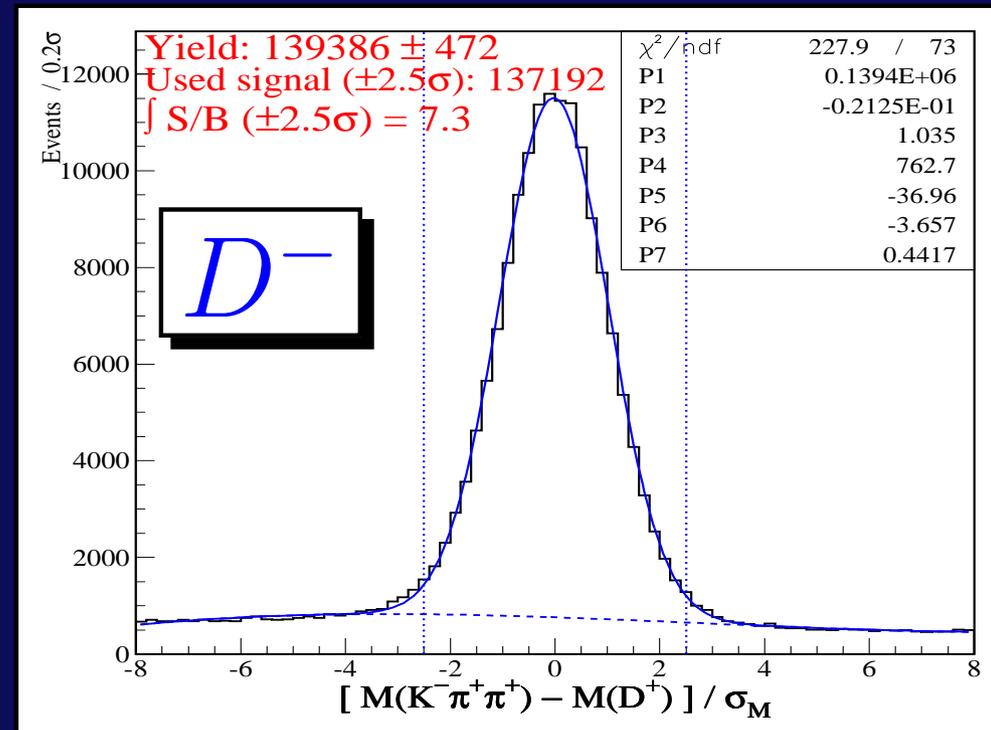
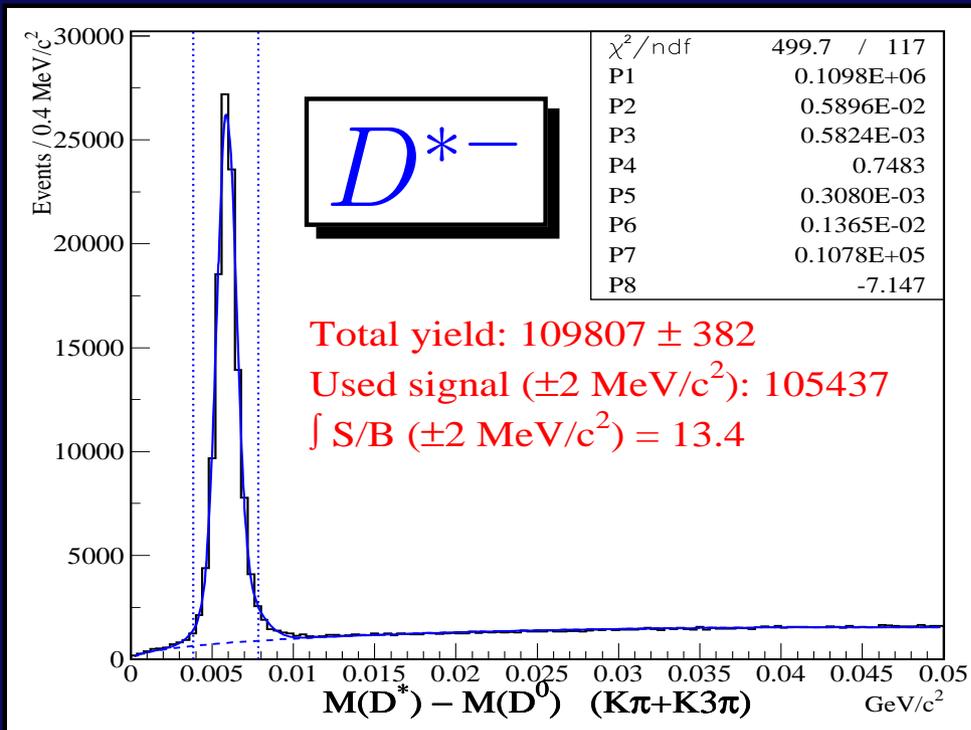
# But wait, there's more: $\Theta_c^0(uudd\bar{c})$

- Pentaquarks can have charm or beauty quarks too ...
- Just replace  $\bar{s}$  in  $\Theta^+(uudd\bar{s})$  with  $\bar{c}$  to get  $\Theta_c^0(uudd\bar{c})$
- H1 at HERA finds  $\Theta_c^0 \rightarrow D^{*-}p$  where  $D^{*-} \rightarrow \bar{D}^0\pi_s^-$ , and  $\bar{D}^0 \rightarrow K^+\pi^-$
- $\Theta_c^0$  yield of  $50.6 \pm 11.2$  from  $\sim 3500$   $D^{*-}$  candidates



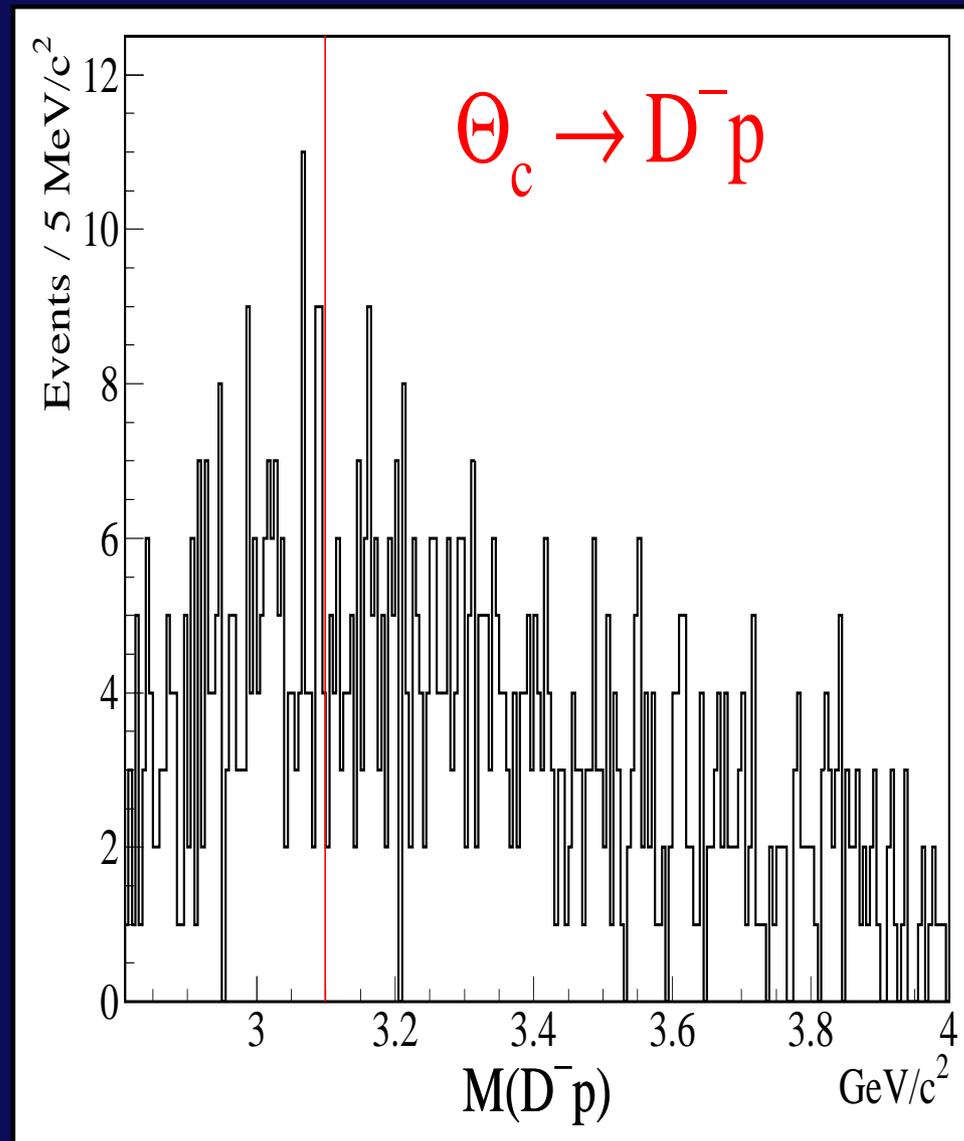
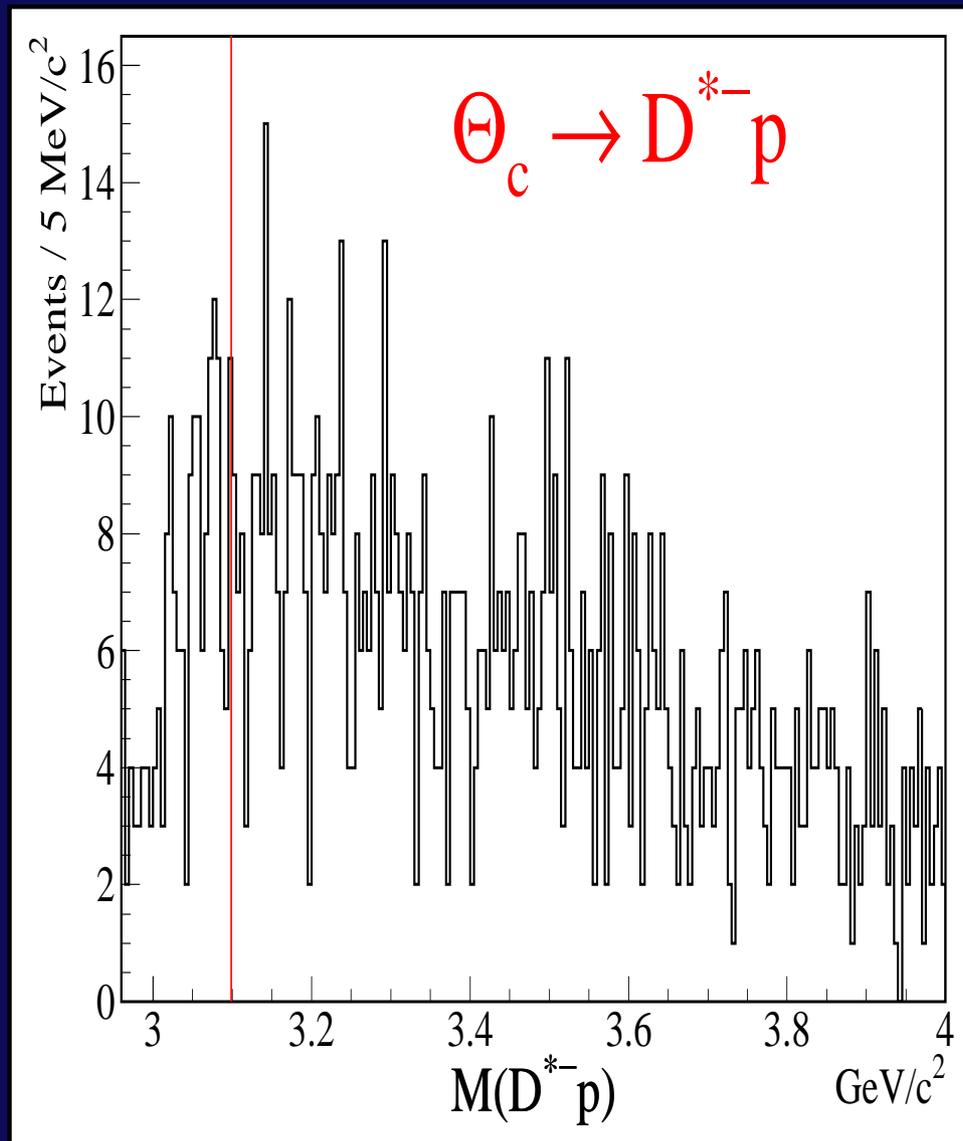
# FOCUS search for $\Theta_c^0$

- Search for  $\Theta_c^0 \rightarrow D^{*-} p$  (H1 mode) and also  $\Theta_c^0 \rightarrow D^- p$
- Standard charm reconstruction for  $D$
- Select  $D^{(*)-}$  candidates in signal region
- Stringent requirement on proton ID



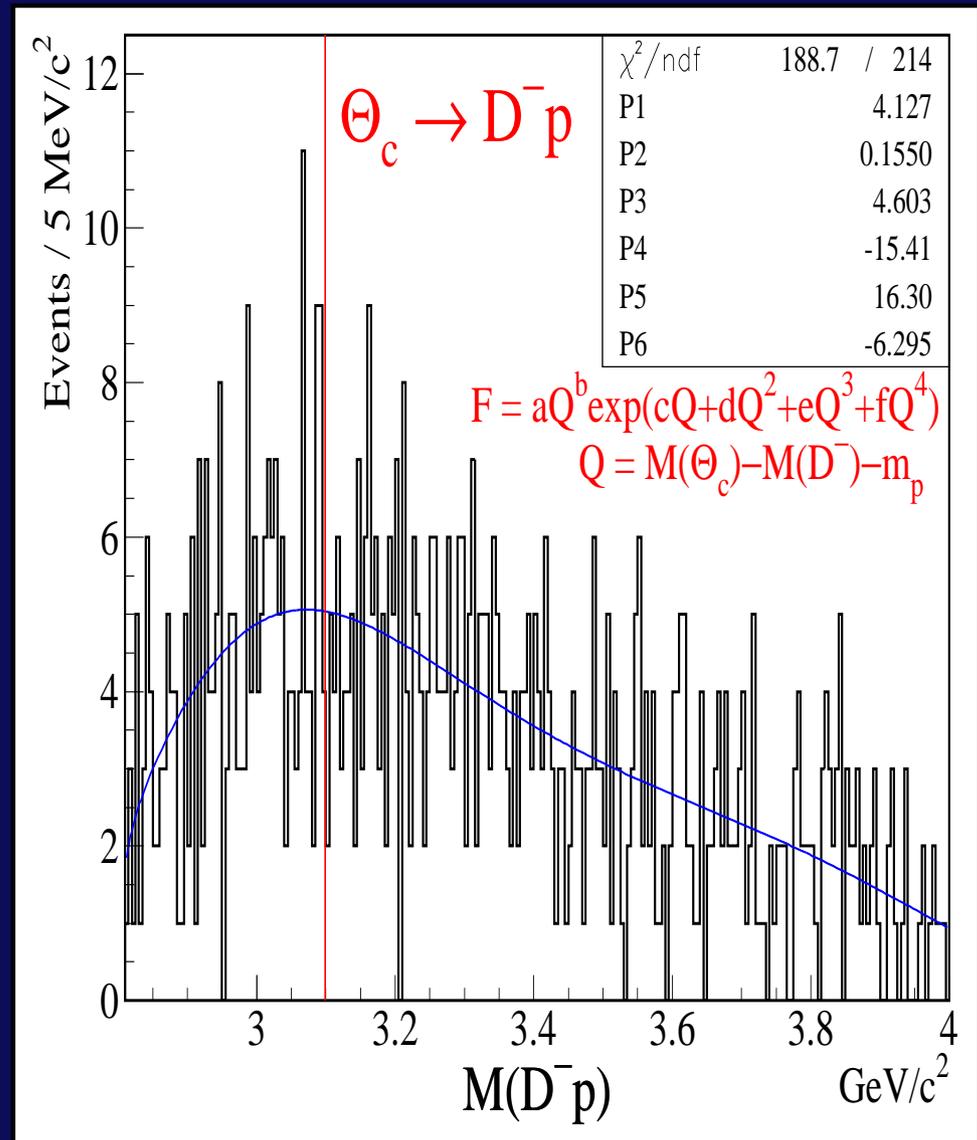
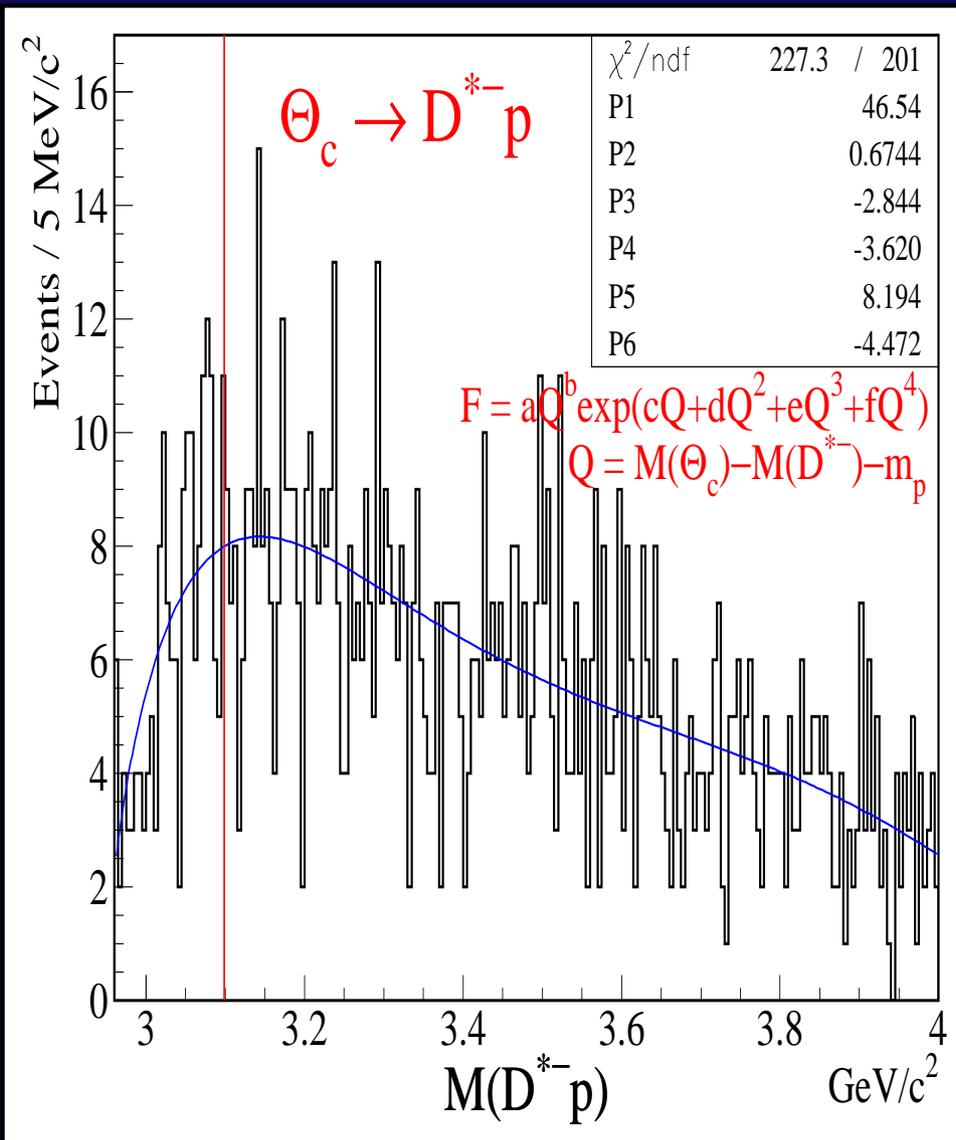
# FOCUS $\Theta_c^0$ mass plots

Nothing to see here, move along



# FOCUS $\Theta_c^0$ mass plots

Background shape:  $q^a \exp(bq + cq^2 + dq^3 + eq^4)$ ,  $q \equiv M(\Theta_c^0) - M(D^{(*)-}) - m_p$



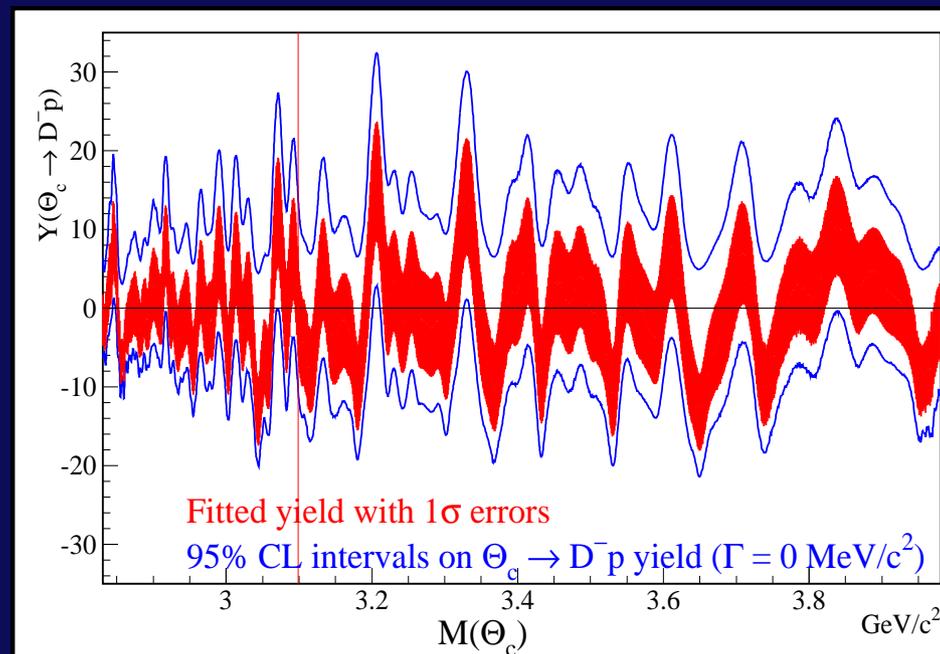
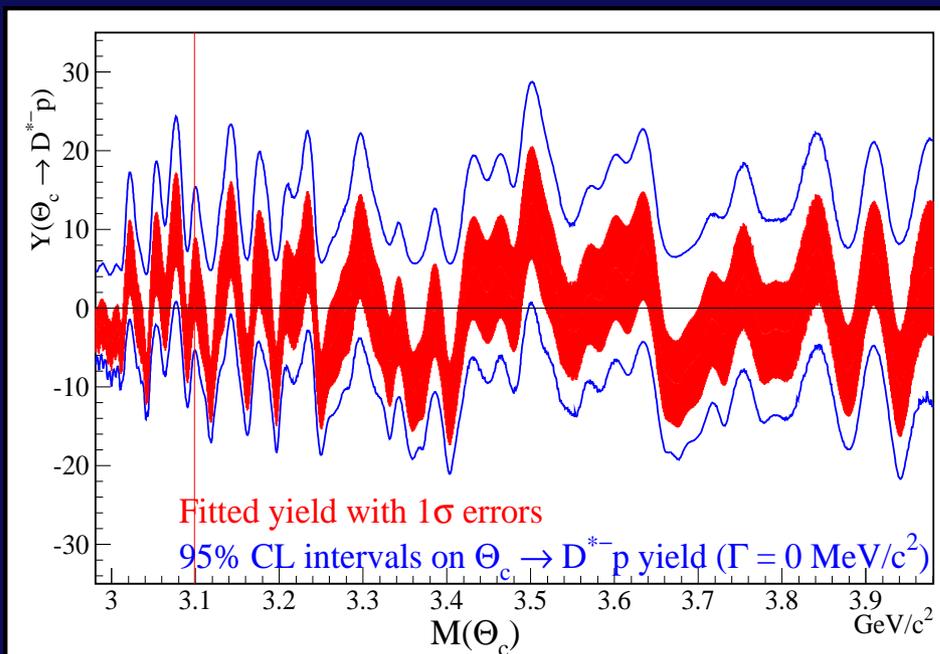
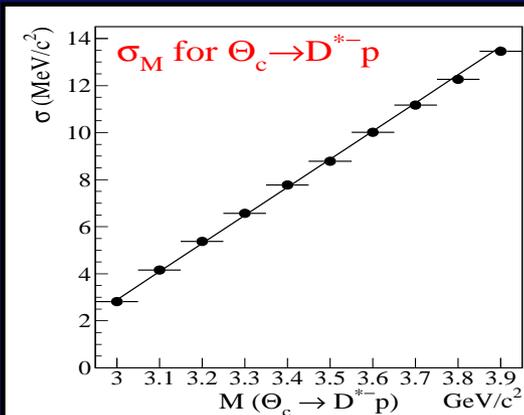
# Obtaining limits on FOCUS yields

Perform  $\sim 1000$  fits in  $1 \text{ MeV}/c^2$  steps over mass range

Red band shows  $1\text{-}\sigma$  errors

Blue curve shows 95% CL upper & lower limits; UL from integrating 95% of likelihood above  $Y = 0$ , LL from  $\Delta 2 \log \mathcal{L} = 3.84$

Gaussian  $\sigma$  in fit obtained from resolution (via Monte Carlo)

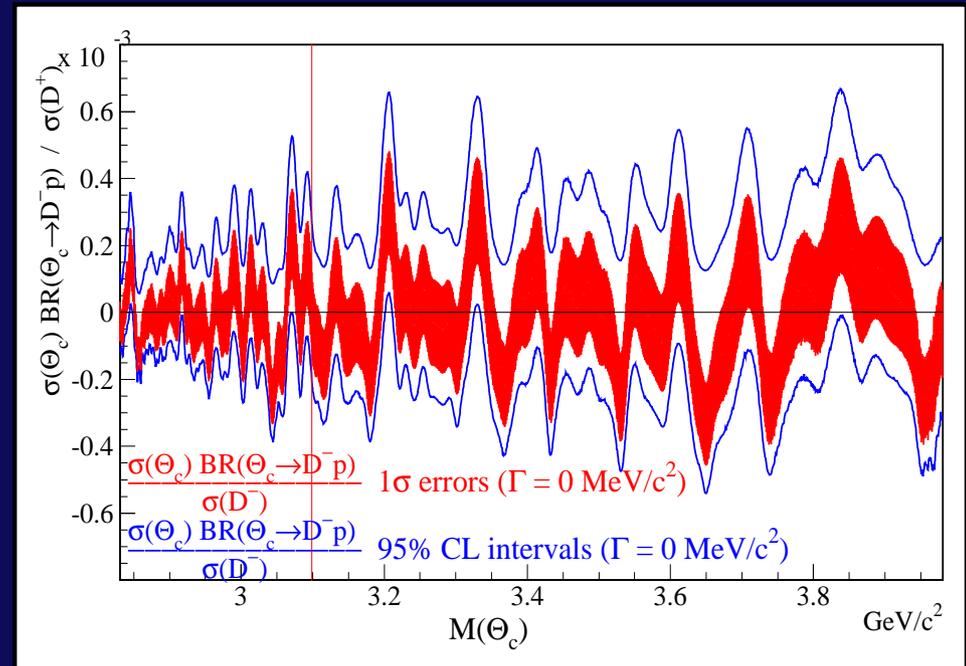
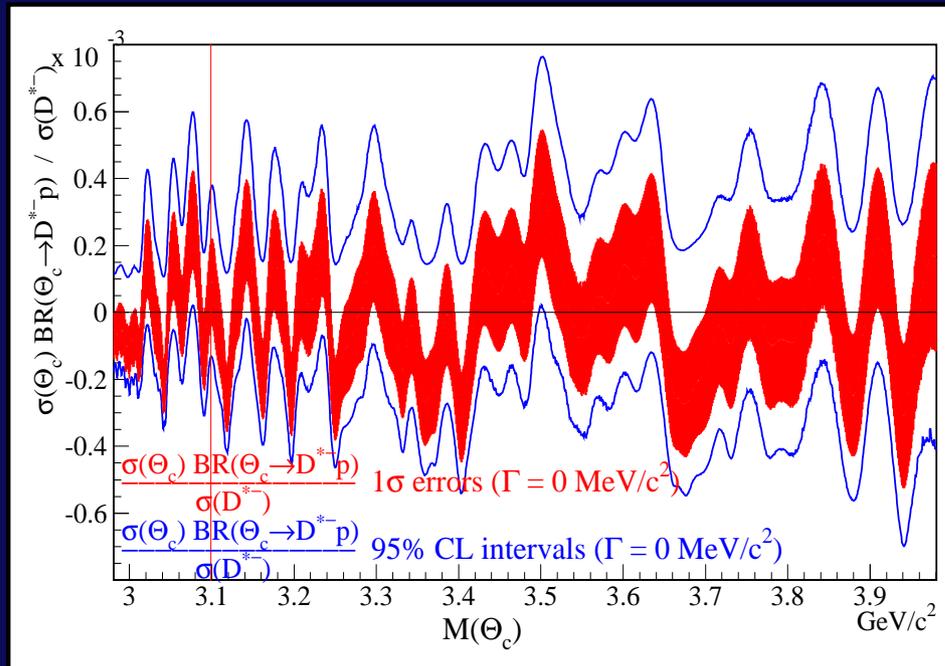
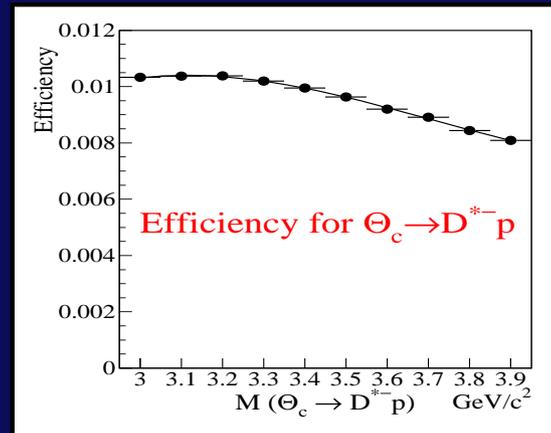


# Convert yield limit to cross section

Correct for efficiency and acceptance

Assume  $\Theta_c^0$  produced like  $3.1 \text{ GeV}/c^2 \Xi_c^0$ . Ratio production to single charm.

$\Theta_c \rightarrow D^{*-} p$   
 efficiency from Monte Carlo;  
 $D^- p$  is similar



# Summary of charm pentaquark results

Experiment	$Y(\Theta_c)$	$Y(D^{*-})$	$Y(D^-)$	$\frac{\sigma(\Theta_c \rightarrow D^{*-})}{\sigma(D^{*-})}$	$\frac{\sigma(\Theta_c \rightarrow D^-)}{\sigma(D^-)}$
H1 $ep$	$50.6 \pm 11.2$	$\sim 3500$		$\sim 1\%$	
<b>FOCUS</b> $\gamma N$	$< 15$	<b>105000</b>	<b>137000</b>	$< 0.04\%$	$< 0.04\%$
ALEPH $e^+e^-$		$\sim 4300$	$\sim 5400$	$< 0.31\%$	$< 1.80\%$
CDF $p\bar{p}$	$< 27$	537000			
ZEUS $ep$		$\sim 60000$		$< 0.23\%$	

- **FOCUS** result is in serious disagreement with H1 observation for  $\Theta_c \rightarrow D^{(*)-}p$
- ZEUS has identical production and similar experiment; claims H1 signal excluded at  $9\sigma$

# Conclusions

January, 2003 — March, 2004 saw 12 pentaquark observation papers submitted; PRL, PLB, PAN published 4, 5, 2 (1 pending for PAN)



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We should keep in mind a quote from Jorge Santayana (borrowed from a pentaquark talk by Ted Barnes): *Scepticism is the chastity of the intellect, and it is shameful to surrender it too soon or to the first comer*